



California ISO

# Settlement User Group

May 26, 2021

10:00 a.m. – 11:00 a.m. (Pacific Time)

## Web Conference Information

Web Address: <https://caiso.webex.com/meet/settlementuser>

Meeting Number: 961 854 046

Audio connection instructions will be available after connecting to the web conference. When prompted, select "Call me" and enter your phone number you will use during the call.


## Conference Call Information


US Toll Free: 1-844-517-1271

US Toll : 1- 682-268-6591

Access Code: 961 854 046

Calls and webinars are recorded for stakeholder convenience, allowing those who are unable to attend to listen to the recordings after the meetings. The recordings will be publically available on the ISO web page for a limited time following the meeting. The recording, and any related transcriptions, should not be reprinted without the ISO's permission. Hyperlinks in blue in PDF version.

The recording will be posted on our website and be available for a short period of time until June 9, 2021. Please  Mute your phone while the discussion is going on in order to eliminate background noise, to unmute press and hold the spacebar to temporarily unmute. Calls are structured to stimulate an honest dialogue and engage different perspectives.

To ask a question after each topic, unmute yourself before asking your question, or enter question on the  Chat  
please state your name and affiliation. Thank you.



spacebar

# Settlement User Group Agenda

Wednesday, May 26, 2021

10:00 a.m. – 11:00 a.m. (Pacific Time)

Time	Topic	Presenter
▪ 10:00 – 10:02	▪ Welcome and Introduction	▪ Tyler DuBeshter
▪ 10:02 – 11:00	▪ Settlements <ul style="list-style-type: none"><li>▪ Summer/Q2 2021 Settlement Release</li><li>▪ Fall/Q3 2021 Settlement Release</li><li>▪ PRR and BPM updates</li><li>▪ Market Simulation Summer 2021 Updates</li><li>▪ Market Simulation Settlement Calendar</li><li>▪ Customer Readiness 2021 Training</li></ul>	<ul style="list-style-type: none"><li>▪ Elizabeth Caldwell</li><li>▪ Elizabeth Caldwell</li><li>▪ Brenda Corona</li><li>▪ Anshuman Vaidya, Trang Vo</li><li>▪ Assad Al- Baijat</li><li>▪ Radha Madrigal</li></ul>

# Summer/Q2 2021 Settlement Release

- Release scope:
  - Projects:
    - Summer 2021 Readiness: Make Whole/Uplift Settlement for Economic Bid Hourly Block Resources with FMM Inc Import or FMM Dec Export.
- 1<sup>st</sup> draft tech docs posted: **4/7**
- 1<sup>st</sup> draft config output file + release component summary + updated tech docs: **4/21**
- 2<sup>nd</sup> draft config output file: **5/11**
- Market sim in progress: extended, 4/26 – 6/23
  - Conference calls are bi-weekly, Monday + Thursday
  - Stay current thru [Release User Group](#) (RUG), every other Tuesday 10-11am
  - Next web conference on 6/1
- Pre-prod configuration output file + release artifacts to post: **6/22**
- Anticipated prod deployment: **6/29**
  - Configuration effective date: **retro, 6/1** to meet system processing requirements
  - Upstream data flow expected: **6/15**, uplift would only calc on or after this date
  - Some TD processing may miss T+9 if uplift occurs, will reflect on T+70B

# Fall/Q3 2021 Settlement Release

- Release scope:
  - Projects with Settlement Configuration Changes:
    - ESDER Phase 4
    - Real Time Settlement Review Phase 2
    - Intertie Shadow Pricing Resolution
  - Non-project: to be outlined in release component summary
- 1<sup>st</sup> draft tech docs to post: **5/28**
- 1<sup>st</sup> draft config output file + release component summary to post: **6/14**
- 2<sup>nd</sup> draft config output file + updated release component summary to post: **7/21**
- Market sim targeting: **7/26 – 9/3**
- 3<sup>rd</sup> draft config output file: possible, not currently scheduled
- Pre-prod configuration output file + release artifacts to post: **9/22**
- Anticipated prod deployment: **9/29**

# PRR and BPM Updates

To view all details for the PRRs in the [BPM Change Management application](#)

PRR	Title	Status for all
1335	Update CC 7020 RMR Capacity Payment BPM to clawback excess revenue when RMR designation is for System TAC Area	CG CC 7020 Daily RMR Capacity Payment Final Decision will be posted by Thursday.
1338	Configuration change to MD over control area calculation applicable to pre and post-excess behind the meter formulas to correctly pass data into 8835 and to properly pro-rate the LAP neutrality allocation.	12 CGs see PRR form Recommendation comment period. PRRs will be discussed at the June 22 BPM meeting.
1339	Configuration change to exempt both contracted internal pseudo-ties and resources with valid ETC/TOR contracts from intertie deviation settlement penalty charges calculated under charge code 6456	CG CC 6456 Intertie Deviation Settlement
1350	Created 6483 Hour-Ahead Scheduling Process Uplift (HASP) Settlement, and 6484 HASP Uplift Settlement Allocation to accommodate the changes as per the Real Time Market Import & Export Market Incentives during Tight System Conditions Initiative	CG CC 6483 HASP Uplift Settlement CG CC 6484 HASP Uplift Settlement Allocation

# Market Simulation Summer 2021 Updates

<u>Summer 2021 Release Initiatives</u>	<u>Start Date</u>	<u>Start Date (Trade Date)</u>	<u>End Date</u>	<u>Structured Simulation Start (Trade Date)</u>	<u>Go Live Date</u>
<b>Summer 2021 Release Market Simulation</b>	04/26/21	04/26/21	05/28/21	05/10/21	
FERC order 831				05/25-05/27	June 15
<b>Resource adequacy enhancements track 1</b> Phase 1 – Scenario 1,2 Phase 2 – Scenario 3,4,5 OMS Curbing, Ambient Outages		• Phase 1 – 5/19 • Phase 2 – 6/14 • OMS Curbing, Ambient Outages – 6/17		• Phase 1 - 5/20 • Phase 2 – 6/15 • OMS Curbing, Ambient Outages – 6/17	• Phase 1 - June 03 • Phase 2 - June 30 • OMS Curbing, Ambient Outages - July 1
<b>Summer 2021 readiness</b> Phase 1 – Scenario 6,7 Phase 2 – Scenario 1,2,5 Phase 3 – Scenario 3,4		Phase 1 – 5/20 Phase 2 – 5/27 Phase 3 – 6/14		Phase 1 – 5/20 & 5/25 Phase 2 – 6/1, 6/2 & 6/3 <b>Noon to 5 PM</b> Phase 3 – 6/15 & 6/17	Phase 1&2 – June 15 Phase 3 – June 30
<b>Summer 2021 readiness</b> Export, wheeling, and load scheduling priorities	TBD	TBD		TBD	By July 15

Web address: <https://caiso.webex.com/meet/MarketSim>

Meeting number: 962 067 710

- Dial-in Number: 844-517-1271 (Toll Free)
- International Dial-in: 682-268-6591
- Access Code: 962 067 710


May						
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# Market Simulation Initiatives – Summer 2021 Updates

- Timeline: April 26 – June 07
  - **FERC order 831**
- Structured: May 25, 26, 27 (Buffers dates June 1, 2, 3)
  - Structured Scenarios document -
  - <http://www.caiso.com/Documents/MarketSimulationStructuredScenarios-FERCOrder831.pdf>
  - Business Requirements Specification -
  - <http://www.caiso.com/Documents/BusinessRequirementsSpecificationFERC831ImportBiddingMarketParameters.pdf>
  - **Resource adequacy enhancements track 1**
- Structured: Phase 1: 20, Phase 2: June 15
  - Business Requirements Specification - <http://www.caiso.com/Documents/Business-Requirements-Specification-Resource-Adequacy-Enhancements-Track-1-Clean.pdf>
  - Structured Scenarios document - <http://www.caiso.com/Documents/MarketSimulationResourceAdequacyEnhancementTrack1.pdf>
  - **Summer 2021 readiness**
- Structured: Phase 1: May 20 & 25, Phase 2: June 1 & 3, Phase 3: June 15 & 17
  - Business Requirements Specification - <http://www.caiso.com/Documents/BusinessRequirementsSpecificationSummer2021Readiness.pdf>
  - Structured Scenarios document - <http://www.caiso.com/Documents/MarketSimulationStructuredScenariosSummerReadiness2021.pdf>



# Market Simulation Calendar

 California ISO		<b>Summer 2021 Release Calendar (MAP STAGE)</b> <b>Apr 26, 2021 through Jun 23, 2021</b>					
Calendar Day	Day	CMRI T+1B	Submit Meter Data by T+2B 10:00 AM for Initial	Publish Initial Statement T+5B	Submit Meter Data by T+5B 18:00 for Recalc	Publish Recalc Statement T+8B	Publish Market Invoice
				T+9B		T+70B	
26-Apr-21	Monday						
27-Apr-21	Tuesday						
28-Apr-21	Wednesday	27-Apr					
29-Apr-21	Thursday		27-Apr				
30-Apr-21	Friday	29-Apr					
01-May-21	Saturday						
02-May-21	Sunday						
03-May-21	Monday		29-Apr				
04-May-21	Tuesday			27-Apr	27-Apr		
05-May-21	Wednesday	4-May					
06-May-21	Thursday		4-May	29-Apr	29-Apr		
07-May-21	Friday	6-May		Monthly Initial 27-Apr - 29-Apr		27-Apr	Daily Initial 27-Apr; 29-Apr Monthly Initial 27-Apr - 29-Apr
08-May-21	Saturday						
09-May-21	Sunday						
10-May-21	Monday		6-May				
11-May-21	Tuesday			4-May	4-May	29-Apr	
12-May-21	Wednesday	11-May				Monthly Recalc 27-Apr - 29-Apr	Daily Recalc 27-Apr; 29-Apr Monthly Recalc 27-Apr - 29-Apr
13-May-21	Thursday		11-May	6-May	6-May		
14-May-21	Friday	13-May		Monthly Initial 4-May - 6-May		4-May	Daily Initial 4-May; 6-May Monthly Initial 4-May - 6-May
15-May-21	Saturday						
16-May-21	Sunday						
17-May-21	Monday		13-May				
18-May-21	Tuesday			11-May	11-May	6-May	
19-May-21	Wednesday	16-May				Monthly Recalc 4-May - 6-May	Daily Recalc 4-May; 6-May Monthly Recalc 4-May - 6-May
20-May-21	Thursday		16-May	13-May	13-May		
21-May-21	Friday	20-May		Monthly Initial 11-May - 13-May		11-May	Daily Initial 11-May; 13-May Monthly Initial 11-May - 13-May



# Market Simulation Calendar (cont'd)




## Summer 2021 Release Calendar (MAP STAGE)

Apr 26, 2021 through Jun 23, 2021

Calendar Day	Day	CMRI T+1B	Submit Meter Data by T+2B 10:00 AM for Initial	Publish Initial Statement T+5B	Submit Meter Data by T+5B 18:00 for Recalc	Publish Recalc Statement T+8B	Publish Market Invoice
				T+9B		T+70B	
24-May-21	Monday		20-May				
25-May-21	Tuesday			18-May	18-May	13-May	
26-May-21	Wednesday	25-May				Monthly Recalc 11-May -13-May	Daily Recalc 11-May; 13-May Monthly Recalc 11-May - 13-May
27-May-21	Thursday	26-May	25-May	20-May	20-May		
28-May-21	Friday	27-May	26-May	Monthly Initial 18-May -20-May		18-May	Daily Initial 18-May; 20-May Monthly Initial 18-May - 20-May
29-May-21	Saturday						
30-May-21	Sunday						
31-May-21	Monday						
01-Jun-21	Tuesday		27-May				
02-Jun-21	Wednesday	1-Jun		25-May	25-May	20-May	
03-Jun-21	Thursday	2-Jun	1-Jun	26-May	26-May	Monthly Recalc 18-May -20-May	Daily Recalc 18-May; 20-May Monthly Recalc 18-May - 20-May
04-Jun-21	Friday	3-Jun	2-Jun	27-May	27-May		
05-Jun-21	Saturday						
06-Jun-21	Sunday						
07-Jun-21	Monday		3-Jun	Monthly Initial 25-May -27-May		25-May	Daily Initial 25-May; 26-May; 27-May Monthly Initial 25-May - 27-May
08-Jun-21	Tuesday			1-Jun	1-Jun	26-May	
09-Jun-21	Wednesday	8-Jun		2-Jun	2-Jun	27-May	
10-Jun-21	Thursday		8-Jun	3-Jun	3-Jun	Monthly Recalc 25-May -27-May	Daily Recalc 25-May; 26-May; 27-May Monthly Recalc 25-May - 27-May
11-Jun-21	Friday	10-Jun		Monthly Initial 01-Jun -03-Jun		1-Jun	Daily Initial 01-Jun; 02-Jun; 03-Jun Monthly Initial 01-Jun -03-Jun

# Market Simulation Calendar (cont'd)

		<b>Summer 2021 Release Calendar (MAP STAGE)</b> <b>Apr 26, 2021 through Jun 23, 2021</b>					
Calendar Day	Day	CMRI T+1B	Submit Meter Data by T+2B 10:00 AM for Initial	Publish Initial Statement T+5B	Submit Meter Data by T+5B 18:00 for Recalc	Publish Recalc Statement T+8B	Publish Market Invoice
				T+9B		T+70B	
14-Jun-21	Monday		10-Jun			2-Jun	
15-Jun-21	Tuesday			8-Jun	8-Jun	3-Jun	
16-Jun-21	Wednesday	15-Jun				Monthly Recalc 01-Jun -03-Jun	Daily Recalc 01-Jun; 02-Jun; 03-Jun Monthly Recalc 01-Jun -03-Jun
17-Jun-21	Thursday		15-Jun	10-Jun	10-Jun		
18-Jun-21	Friday	17-Jun		Monthly Initial 08-Jun -10-Jun		8-Jun	Daily Initial 08-Jun; 10-Jun Monthly Initial 08-Jun -10-Jun
19-Jun-21	Saturday						
20-Jun-21	Sunday						
21-Jun-21	Monday		17-Jun				
22-Jun-21	Tuesday			15-Jun	15-Jun	10-Jun	
23-Jun-21	Wednesday	22-Jun				Monthly Recalc 08-Jun -10-Jun	Daily Recalc 08-Jun; 10-Jun Monthly Recalc 08-Jun -10-Jun
24-Jun-21	Thursday		22-Jun	17-Jun	17-Jun		
25-Jun-21	Friday			Monthly Initial 15-Jun -17-Jun		15-Jun	Daily Initial 15-Jun; 17-Jun Monthly Initial 15-Jun -17-Jun
26-Jun-21	Saturday						
27-Jun-21	Sunday						
28-Jun-21	Monday						
29-Jun-21	Tuesday			22-Jun		17-Jun Monthly Recalc 15-Jun -17-Jun	Daily Recalc 15-Jun; 17-Jun Monthly Recalc 15-Jun -17-Jun

# ISO Instructor-Led Training Sessions

Visit [caiso.com](http://www.caiso.com) **Learning Center** web page to access our training calendar, register for courses and find other informational resources: <http://www.caiso.com/participate/Pages/LearningCenter/default.aspx>

Training Courses and workshops	Date and time
<b>Intro to ISO Workshop (Virtual workshop)</b>	June 8 - 9, 2021 (9am-12pm)
<b>Market Transactions (Virtual workshop)</b>	June 15 -16, 2021 (9am-12pm)
<b>ISO Settlements (Virtual workshop)</b>	June 22 - 23, 2021 (9am-12pm)

*\*Dates for 2021 Fall release initiative training to be added soon*

*All Summer Readiness related training webinar recordings are now available on [www.caiso.com](http://www.caiso.com) in the Learning Center and on the Summer Readiness release page.*

# ISO Computer Based Training Programs

Visit caiso.com **Learning Center** web page to access our training calendar, register for courses and find other informational resources: <http://www.caiso.com/participate/Pages/LearningCenter/default.aspx>

## Computer Based Training – Settlements

There are more than [30](#) computer based training modules on the ISO website covering:

RC Settlements	<a href="http://www.caiso.com/CBT/RC-Settlements/rc-settlements.html#/">http://www.caiso.com/CBT/RC-Settlements/rc-settlements.html#/</a>
Energy Settlements Validation Practice	<a href="http://www.caiso.com/CBT/EnergySettlementsValidation/story.html">http://www.caiso.com/CBT/EnergySettlementsValidation/story.html</a>
Settlement Validation Practice	<a href="http://www.caiso.com/CBT/Settlements%20Validation%20Practice/story_html5.html">http://www.caiso.com/CBT/Settlements%20Validation%20Practice/story_html5.html</a>
Commitment Costs	<a href="http://www.caiso.com/CBT/CommitmentCosts-CBT/story.html">http://www.caiso.com/CBT/CommitmentCosts-CBT/story.html</a>
Settlements foundational concepts (EIM)	<a href="https://www.westerneim.com/CBT/Settlements%20Foundational%20Concepts/Settlements-FoundationalConcepts.html">https://www.westerneim.com/CBT/Settlements%20Foundational%20Concepts/Settlements-FoundationalConcepts.html</a>
Metering Overview	<a href="http://www.caiso.com/CBT/MeteringOverview/MeteringOverview.html">http://www.caiso.com/CBT/MeteringOverview/MeteringOverview.html</a>
Settlements Process	<a href="http://www.caiso.com/CBT/SettlementsProcess/story_html5.html">http://www.caiso.com/CBT/SettlementsProcess/story_html5.html</a>
Market Pricing	<a href="http://www.caiso.com/CBT/Market-Pricing/story.html">http://www.caiso.com/CBT/Market-Pricing/story.html</a>

New initiatives training course on the learning center web page and retooling Settlements trainings

# Settlement Corner

Upcoming Topics	Previous Topics	Published Knowledge Articles
<ul style="list-style-type: none"><li>Fall Settlement Release Projects</li></ul>	<ul style="list-style-type: none"><li>Storage- <a href="#">Link</a></li><li>Bid Cost Recovery - <a href="#">Link</a></li><li>FERC 841- requested adjustments – <a href="#">Link</a></li><li>Renewable and energy storage - <a href="#">Link</a></li><li>Fall Release Projects – <a href="#">Link</a></li><li>Transportation electrification and micro grids <a href="#">Link</a></li><li>Hybrid Resource Phase 1b - <a href="#">Link</a></li><li>Real Time Settlement Review <a href="#">Link</a></li></ul>	<ul style="list-style-type: none"><li>RC Informational Statement</li><li>After-the-Fact EIM Manual Dispatches from ISO systems</li><li>Validate Mitigated prices in DA, RTPD (FMM) and RTD</li><li>Calculation of meter data for TG resources</li><li>Energy Transfer System Resource (ETSR)</li><li>Pricing node (Pnode) price used for CRR Settlement</li></ul>

**Submit**



topics to us at [twitter.com/isosettlements.com](https://twitter.com/isosettlements.com)

# Recent Tweets

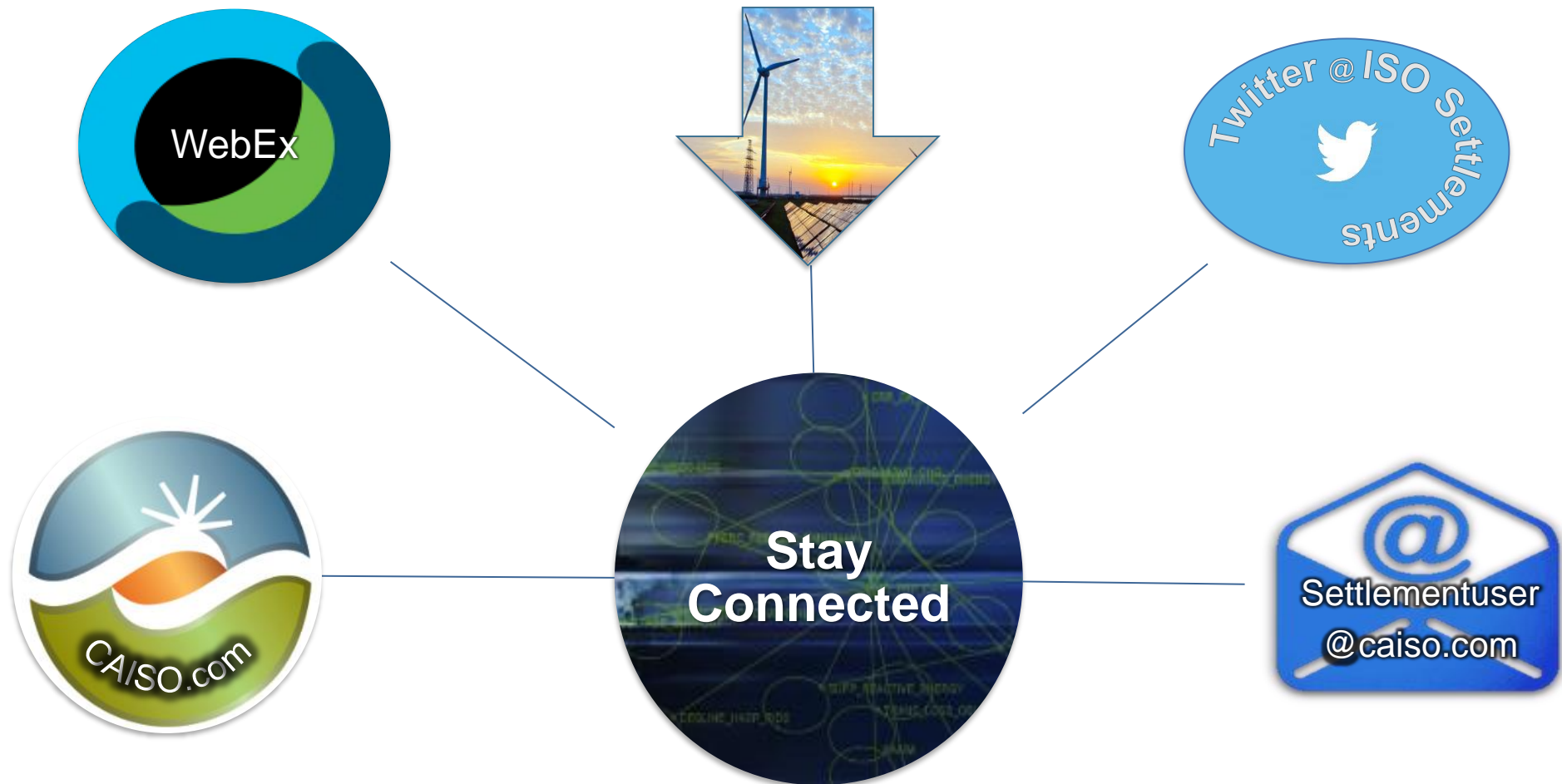
- **@ISOSettlements** @isosettlements · May 24  
Business Practice Manuals Change Management next conference call is scheduled on 5/25 at 11 a.m. [bit.ly/32a1MWJ](https://bit.ly/32a1MWJ)  
   
- **@ISOSettlements** @isosettlements · May 24  
Market Simulation for summer readiness Reliability Demand Response Resource structured scenario for trade dates 5/20 and 5/25 for 15-minute and 60-minute dispatch options. [bit.ly/3f5G1Ob](https://bit.ly/3f5G1Ob)  
   
- **@ISOSettlements** @isosettlements · May 21  
Market Simulation for 2021 summer readiness Phase 1 is scheduled from 2 p.m. to 5 p.m. for trade dates 5/20/21-5/25/21. [bit.ly/3eisGSJ](https://bit.ly/3eisGSJ)  
   
- **@ISOSettlements** @isosettlements · May 21  
Market Simulation for FERC order 831 - structured scenario for trade dates 5/25, 5/26 and 5/27. [bit.ly/3f5G1Ob](https://bit.ly/3f5G1Ob)  
   
- **@ISOSettlements** @isosettlements · May 14  
The Market Simulation conference call has posted the presentations from the 4/10/21 and 4/12/21 meetings, and upcoming meeting on 4/17/21. [bit.ly/3eisGSJ](https://bit.ly/3eisGSJ)  
   1 
- **@ISOSettlements** @isosettlements · May 13  
Proposed Revision Request 1350 for Summer Readiness has been submitted to Business Practice Manuals Change Management. [bit.ly/32a1MWJ](https://bit.ly/32a1MWJ)  
   1 



<https://twitter.com/ISOSettlements>

# Next SUG Meeting: June 9, 2021

at 10:00 A.M





# Settlement References

- Settlement User Group Calendar 2021
- Market Simulation New URLs – For MapStage
- Knowledge Articles
- ISO Settlements Twitter Page
- Ways to Participate in Settlements

# Settlement User Group Calendar 2021

Market Services

Settlement User Group Meetings

Note: dates subject to change; for the latest information please visit the Calendar on [www.caiso.com](http://www.caiso.com) and follow us on Twitter @ISOSettlements



California ISO

January						
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February						
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September						
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October						
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November						
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December						
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Meeting Agenda posted Holiday

# Market Simulation New URLs – For MapStage

- <https://sibr.map.caiso.com>
- <https://bsap.map.caiso.com>
- <https://rcbsap.map.caiso.com>
- <https://baaop.map.caiso.com>

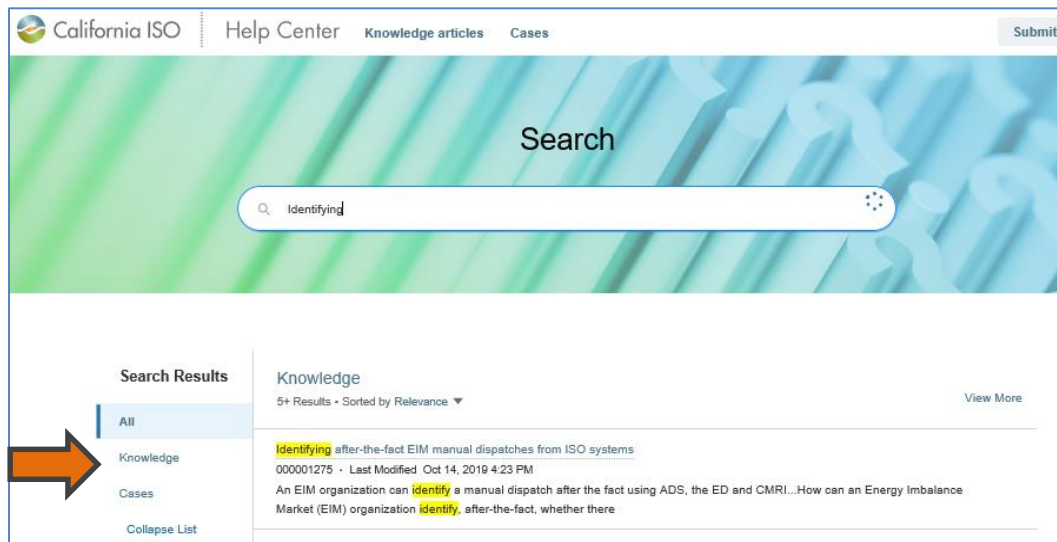
# Knowledge Articles

CAISO has posted **Knowledge Articles** for FAQs in CIDI.

To access the articles in CIDI, you either:

**Option 1:** Type in the keyword “**Identify**” in the search field to pull up the article, or

**Option 2:** Click on the **Knowledge Articles** tab, and then click the **Settlements and Metering** category link to pull up a list of the Settlements related articles.



## Posted Knowledge Articles to date:

- RC rate location
- RC Informational Statement
- RC services charge
- 2019 prorated RC charge
- Payment calendar
- ED-DPMIN
- After-the-Fact EIM Manual Dispatches from ISO systems
- Validate Mitigated prices in DA, RTPD (FMM) and RTD
- Calculation of meter data for TG resources
- Pricing node (Pnode) price used for CRR Settlement
- In relation to the Day-Ahead Nodal Pricing Model, the California ISO has posted a Market Analysis report to its website for market participant review. [Nodal Pricing Model Report v1](#)

# ISO Settlements Twitter

- Settlement related updates
- Market sim updates
- Note: Will not replace any existing media communications
- Please take the opportunity to follow [@ISOSettlements on Twitter](#) by downloading the Twitter application to **any mobile device**
- Twitter feed can be accessed on **any web browser**
- **No account required** to view the Twitter feed and posts
- Save twitter page as a 'Favorite' on your tab



# Ways to participate in settlements

- ISO Settlements Twitter Page
  - <https://twitter.com/isosettlements>
- Visit the Settlements page
  - <http://www.caiso.com/market/Pages/Settlements/Default.aspx>
- Attend web conference meetings
  - Settlement User Group (SUG) bi-weekly meetings
    - Release User Group
    - Market Sim Conference Calls
    - Initiative status with settlement updates
    - <http://www.caiso.com/StakeholderProcesses/>
    - [System change with settlement updates on independent releases](#)
- BPM Change Management monthly web meeting
  - Settlement and Billing BPM status updates
  - <http://bpmcm.caiso.com/Pages/default.aspx>
  - <http://www.caiso.com/rules/Pages/BusinessPracticeManuals/Default.aspx>
  - <http://www.caiso.com/Documents/ISO-AcronymList.pdf>

# Settlement User Group

Thank you for participating in our call

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