

**ATTACHMENT D**

## Phase 1-B

***Proposed Changes re: Ordering Paragraph 57 (Indicate that adjustments to schedules after the close of the Day-Ahead Market and before the Hour-Ahead market may be made without penalty); Ordering Paragraph 59 (penalties do not apply to changes to Hour-Ahead schedules for entities with transmission contracts that allow for schedules changes within the hour); and Ordering Paragraph 62 (no penalties apply to deviations from Dispatch Instructions that do not conform to the ISO's Dispatch Protocol):***

### Section 11.2.4.1.2

- q) ~~Operational adjustments associated interchange schedules making use of Existing Rights shall not be subject to Uninstructed Deviation Penalty.~~ **Adjustments to Final Hour-Ahead Schedules made in accordance with the terms of Existing Transmission Contracts shall not be subject to Uninstructed Deviation Penalties.**
- r) **Any changes made to Schedules prior to the ISO issuing Final Hour-Ahead Schedules shall not be subject to Uninstructed Deviation Penalties.**
- s) **Uninstructed Deviation Penalties shall not be charged to any deviation from a Dispatch Instruction that does not comply with the requirements set forth in the Dispatch Protocol.**

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***Proposed Change re: Ordering Paragraph 65 (Exempting deviations due to compliance with environmental constraints, operating permits or applicable law)***

#### **2.2.6 Responsibilities of a Scheduling Coordinator.**

Each Scheduling Coordinator shall be responsible for:

**2.2.6.1 Obligation to Pay.** Paying the ISO's charges in accordance with this ISO Tariff;

**2.2.6.2 Submit Schedules.** Submitting Schedules for Energy in the Day-Ahead Market and Hour-Ahead Market in relation to Market Participants for which it serves as Scheduling Coordinator;

**2.2.6.3 Modifications in Demand and Supply.** Coordinating and allocating modifications in scheduled Demand and exports and scheduled Generation and imports at the direction of the ISO in accordance with this ISO Tariff;

**2.2.6.4 Trades between Scheduling Coordinators.** Billing and settling an Inter-Scheduling Coordinator Energy or Ancillary Service Trade shall be done in accordance with the agreements between the parties to the trade. The parties to an Inter-Scheduling Coordinator Energy or Ancillary Service Trade shall notify the ISO, in accordance with the ISO Protocols, of the Zone in which the transaction is deemed to occur, which, for Inter-Scheduling Coordinator Energy Trades, shall be used for the purpose of identifying which Scheduling Coordinator will be responsible for payment of applicable Usage Charges;

**2.2.6.5 Scheduling Deliveries.** Including in its Schedules to be submitted to the ISO under this ISO Tariff, the Demand, Generation and Transmission Losses necessary to give effect to trades with other Scheduling Coordinators;

**2.2.6.6 Tracking and Settling Trades.** Tracking and settling all intermediate trades among the entities for which it serves as Scheduling Coordinator;

**2.2.6.7 Ancillary Services.** Providing Ancillary Services in accordance with Section 2.5;

**2.2.6.8 Annual and Weekly Forecasts.** Submitting to the ISO the forecasted weekly peak Demand on the ISO Controlled Grid and the forecasted Generation capacity. The forecasts shall cover a period of twelve (12) months on a rolling basis;

**2.2.6.9 ISO Protocols.** Complying with all ISO Protocols and ensuring compliance by each of the Market Participants which it represents with all applicable provisions of the ISO Protocols;

**2.2.6.10 Interruptible Imports.** Identifying any Interruptible Imports included in its Schedules;  
and

**2.2.6.11 Participating Intermittent Resources.** Submitting Schedules consistent with the ISO Protocols-; and

**2.2.6.12 Compliance with Environmental Constraints, Operating Permits and Applicable Law. Submitting Ancillary Services bids, Adjustment Bids and Supplemental Energy bids so that any service provided in accordance with such bids does not violate environmental constraints, operating permits or applicable law. All submitted bids must reflect resource limitations and other constraints as such are required to be reported to the ISO Control Center.**

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***Proposed Change re: Ordering Paragraph 66 (restoring Paragraph 11.2.4.1.2 (b))***

**Section 11.2.4.1.2**

- b) The Uninstructed Deviation Penalty will apply to Interconnection Schedules if a pre-dispatch instruction is declined or not delivered. **However, uninstructed energy resulting from declining Intra-hour instructions will not be subject to Uninstructed Deviation Penalty.** Dynamic Interconnection Schedules, to the extent they deviate from their Final Hour-Ahead Schedule plus any real-time Dispatch Instructions will be subject to the Uninstructed Deviation Penalty;

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*(Note typographical errors in 5.13.1 and 5.13.2.1 – references should be to Dispatch Protocol 8.6.3 (j), not 8.6.4 (j))*

**5.13.1 Energy Bid Definition**

A single Energy Bid curve per resource per hour shall be used in: (a) the Real-Time Hourly Pre-Dispatch as set forth in Dispatch Protocol 8.6.4<sup>3</sup>, and (b) the Real-Time Economic Dispatch (10-minute Imbalance Energy market). The Energy Bid shall be a staircase price (\$/MWh) versus quantity (MW) curve of up to 10 segments. The Energy Bid shall be submitted to the Real Time Imbalance Energy

Market using the Supplemental Energy Bid template. The Energy Bid curve shall be monotonically increasing, i.e., the price of a subsequent segment shall be greater than the price of a previous segment.

**5.13.2 Energy Bid Submission.**

**5.13.2.1 Real Time Market.** Bids shall be submitted for use in the Real-Time Hourly Pre-Dispatch in DP 8.6.43(j) and the Real-Time Economic Dispatch up to sixty (60) minutes prior to the Operating Hour. Resources required to offer their Available Generation in accordance with Section 5.11.4 shall be required to submit Energy Bids for 1) all of their Available Generation and 2) any Ancillary Services capacity awarded or self-provided in the Day-Ahead or Hour-Ahead Ancillary Services markets. In the absence of submitted bids, default bids will be used for resources required to offer their Available Generation in accordance with Section 5.11.4. Resources not required to offer their Available Generation in accordance with Section 5.11.4 that were awarded or self-provided Ancillary Services capacity must submit an Energy Bid for no less than the amount of awarded or self-provided Ancillary Services capacity. Resources not required to offer their Available Generation in accordance with Section 5.11.4 may voluntarily submit Energy Bids. Submitted Energy Bids shall be subject to the Damage Control Bid Cap as set forth in Section 28.1 and to the Mitigation Measures set forth in Appendix A to the Market Monitoring and Information Protocol.

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