**Note to Stakeholders: All draft changes are purely for discussion purposes only.**

**This proposed notice is all new and therefore not shown in red-line**

**\* \* \* \* \***

**Notice of Generating Unit Retirement or Mothball**

**Including Return from Retirement or Mothball**

This is a notification of the retirement or mothballing of a Generating Unit in accordance with Section 41of the CAISO Tariff. An electronic copy of this completed form should be sent to the CAISO at [RegulatoryContracts@caiso.co](mailto:RegulatoryContracts@caiso.co)m. However, this form will not be considered complete until the original form containing an original signature, including all attachments, is received by the CAISO at the following address: CAISO, Attention: Director of Infrastructure Contracts and Management; 250 Outcropping Way, Folsom CA 95630.

The CAISO may request additional information as reasonably necessary to support its review of planned non-operations.

Legal Owner of the Generating Unit:

Legal Owner’s state of organization or incorporation

Name of Scheduling Coordinator:

Identity of Generating Unit(s) Subject to Retirement/Mothball (Resource Name, Resource ID):

Reason for retirement:

Pursuant to the terms of the CAISO Tariff, Owner hereby certifies that it will

[ ] Retire the Generating Unit under Scenario [select one: 1, 2, 3] in accordance with the BPM for Generator Management] effective \_\_\_\_\_\_ [month] \_\_\_\_[day], \_\_\_\_\_\_ [year], and the decision to retire the unit is definite unless the CAISO procures the Generating Unit, the Generating Unit is sold to an unaffiliated third-party, or a third-party contracts with the Generating Unit for resource adequacy purposes or other contract providing capacity payments.

[ ] Mothball the Generating Unit (Scenario 4 from the BPM for Generator Management) from \_\_\_\_\_\_[month]\_\_\_\_[day], \_\_\_\_\_\_ [year] until \_\_\_\_[month]\_\_\_\_\_\_\_[day]. \_\_\_\_\_\_\_[year] (the mothball end date cannot be later be than 36 months from the start date of mothball status to retain deliverability for the Generating Unit). Owner may return the Generating Unit prior to its scheduled return date, only if the CAISO procures the Generating Unit, the Generating Unit is sold to an unaffiliated third-party, or a third-party contracts with the Generating Unit for resource adequacy purposes or other contract providing capacity payments.

[ ] Terminate retirement status or return from mothball status because the CAISO has procured the Generating Unit, the Generating Unit was sold to an unaffiliated third-party, or a third-party has contracted with the Generating Unit for resource adequacy purposes or other contract providing capacity payment.

[ ] As the Resource Owner I acknowledge that it is my responsibility to submit the Resource Owner letter (available at: [http://www.caiso.com/Documents/ResourceOwnerSchedulingCoordinator  
Selection-LetterTemplate.doc](http://www.caiso.com/Documents/ResourceOwnerSchedulingCoordinatorSelection-LetterTemplate.doc)) to [SCrequests@caiso.com](mailto:SCrequests@caiso.com) to end my SC association.

Owner understands that it must comply with all applicable CAISO Tariff and BPM requirements for retiring a Generating Unit, or mothballing a Generating Unit, or returning a Generating Unit from retirement or mothball status.

Owner understands and agrees that this notification is provided in accordance with Section 41 of the CAISO’s Tariff and the request will be noted in the publicly available spreadsheet located at: <http://www.caiso.com/Documents/AnnouncedRetirementAndMothballList.xlsx>.

The undersigned certifies that he or she is an officer of the owner of the Generating Unit, that he or she is authorized to execute and submit this notification and has legal authority to bind the company, and that the statements contained herein are true and correct to the best of his or her knowledge and that this notice is executed under penalty of perjury.

Signature

Name:

**Contact Information**

Title:

Email:

Date:

Phone:

STATE OF:

COUNTY OF:

Before me, the undersigned authority, this day appeared \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, known by me to be the person whose name is subscribed to the foregoing instrument, who, after first being sworn by me deposed and said:

“I am an officer of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, I am authorized to execute and submit the foregoing notification on behalf of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, and the statements contained in such application are true and correct.”

SWORN TO AND SUBSCRIBED TO BEFORE ME, the undersigned authority on this the \_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_\_\_\_, \_\_.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Notary Public, State of \_\_\_\_\_\_\_\_\_\_\_

My Commission expires \_\_\_\_\_\_\_\_\_\_