



Exceptional Dispatch Report

Table 1: October 2023

CAISO Market Performance and Advanced Analytics **December 15, 2023**

TABLE OF CONTENTS

Introduction	3
The Nature of Exceptional Dispatch	3
Appendix A: Explanation by Example	14
Example 1: Exceptional Dispatch Instructions Prior to DAM	14
Example 2: Incremental Exceptional Dispatch Instructions in RTM.....	15
Example 3: Decremental Exceptional Dispatch Instructions in RTM	17

LIST OF TABLES AND FIGURES

Table 1: Exceptional Dispatches in October 2023	6
Table 2: Instructions Prior to Day-Ahead Market	14
Table 3: FERC Summary of Instructions Prior to DAM.....	15
Table 4: Incremental Exceptional Dispatch Instructions in RTM.....	15
Table 5: FERC Summary of ED Instructions in RTM.....	16
Table 6: Decremental Exceptional Dispatch Instructions in RTM	17
Table 7: FERC Summary of Decremental ED Instructions in RTM	17

Introduction

This report is filed pursuant to FERC’s September 2, 2009, and May 4, 2010, orders in Docket No. ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15th of each month and one originally issued on the 30th of each month. Both Table 1 and Table 2 reports will be issued on the 15th of each month due to the availability of necessary data. This report provides data on the frequency and reasons for Exceptional Dispatches issued in October 2023.

The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, which may also include a post-day-ahead unit commitment, or a real-time exceptional dispatch.¹ A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website.²

The following reason for exceptional dispatch instructions in October 2023 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a

¹ The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.11 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

² A list of all of the CAISO’s publicly available Operating Procedures are available at the following link: <http://www.caiso.com/rules/Pages/OperatingProcedures/Default.aspx>

resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System (“ADS”) failure, or similar issues. Interconnection Reliability Operating Limits (IROL) are system operating limits that are established to prevent instability, uncontrolled separation or cascading as described in operating procedure 3100. System Operating Limit (SOL) are the facility ratings, system voltage limits, transient stability limits, and voltage stability limits that are used in the operating horizon – any of which can be the most restrictive limit at any point in time, pre – or post – contingency. Control Point (CP) are imposed to protect the area transmission network against N – 1 contingencies. There were a few other reasons used to explain exceptional dispatch instructions in October 2023, which are self explanatory.

The data in Table 1 is based on a template specified in the September 2009 order.³ Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (“PTO”) service area; (3) the Local Reliability Area (“LRA”) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC column specifies if there was an incremental dispatch or a decremental dispatch from the IFM schedule. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 204 exceptional dispatches in October 2023, as compared to 156 exceptional dispatches in September 2023. There were no exceptional dispatches issued as a pre-day-ahead commitment.

³ The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

Exceptional dispatches issued for the following reasons accounted for approximately 76 percent of the total exceptional dispatches during the reporting period: market disruption, planned transmission outages, reliability assessment and voltage support. Exceptional dispatches with the reason “Reliability Assessment” were due to Real Time Contingency Analysis, Voltage Stability Analysis, and operating procedure number 7110. Reliability Assessment is the reason as explained in the operator procedure 2330C⁴ that encompasses Control Point (CP), Interconnection Reliability Operating Limit (IROL), System Operating Limit (SOL) and congestion related EDs. This reason is used to mitigate reliability issues identified through the real – time assessment tools such as Real Time Contingency Analysis (RTCA), Voltage Stability Analysis (VSA), Dynamic Stability Analysis (DSA) and/or Operating Procedure (OP) or offline study.

1) ⁴ The operator procedure 2330C - <http://www.caiso.com/Documents/2330C.pdf>

Table 1: Exceptional Dispatches in October 2023

**California Independent System Operator Corporation
Exceptional Dispatch Report
December 15, 2023**

Chart 1: Table of Exceptional Dispatches for Period 01/October/2023 – 31/October/2023

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
1	RT	Bridging Schedules	SCE	Big Creek-Ventura	10/18/2023	50	No	INC	2	22:00	0:00
2	RT	Bridging Schedules	SCE	LA Basin	10/14/2023	98	No	INC	6	1:00	7:00
3	RT	Bridging Schedules	SCE	LA Basin	10/27/2023	10 - 20	No	INC	1	23:00	0:00
4	RT	Fast Start Unit Management	SCE	LA Basin	10/9/2023	0	No	INC	1	3:30	4:10
5	RT	Incomplete or Inaccurate Transmission	PGAE	Sierra	10/28/2023	41	No	DEC	3	10:00	13:00
6	RT	Load Forecast Uncertainty	SCE	Big Creek-Ventura	10/18/2023	750	No	INC	3	18:20	20:30
7	RT	Load Forecast Uncertainty	SCE	Big Creek-Ventura	10/19/2023	50	No	INC	24	0:00	0:00
8	RT	Load Forecast Uncertainty	SCE	LA Basin	10/5/2023	80 - 194	No	INC	2	18:00	20:00
9	RT	Load Forecast Uncertainty	SCE	LA Basin	10/6/2023	80 - 173	No	INC	7	16:00	23:00
10	RT	Load Forecast Uncertainty	SCE	LA Basin	10/18/2023	59 - 176	No	INC	4	16:00	20:00
11	RT	Market Disruption	SCE	LA Basin	10/26/2023	190 - 194	No	INC	3	17:00	20:00
12	RT	Other Reliability Requirement	PGAE	Fresno	10/9/2023	83 - 400	No	INC	2	10:05	12:00
13	RT	Other Reliability Requirement	SDGE	San Diego-IV	10/17/2023	96	No	DEC	5	15:55	20:00
14	RT	Other Reliability Requirement	SDGE	San Diego-IV	10/17/2023	96	No	INC	1	16:00	17:00
15	RT	Planned Transmission Outage	PGAE	Bay Area	10/5/2023	0 - 440	No	DEC	17	6:20	23:15
16	RT	Planned Transmission Outage	PGAE	Bay Area	10/5/2023	0 - 440	No	INC	16	8:00	0:00
17	RT	Planned Transmission Outage	PGAE	Bay Area	10/6/2023	22	No	DEC	5	15:00	20:00
18	RT	Planned Transmission Outage	PGAE	Bay Area	10/6/2023	0 - 22	No	INC	22	0:00	22:00
19	RT	Planned Transmission Outage	PGAE	Bay Area	10/10/2023	20	No	INC	3	15:40	17:45
20	RT	Planned Transmission Outage	PGAE	Bay Area	10/11/2023	20	No	INC	15	6:00	21:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
21	RT	Planned Transmission Outage	PGAE	Bay Area	10/12/2023	20	No	INC	15	6:00	21:00
22	RT	Planned Transmission Outage	PGAE	Bay Area	10/13/2023	20	No	INC	15	6:00	21:00
23	RT	Planned Transmission Outage	PGAE	Bay Area	10/14/2023	20	No	INC	15	6:00	21:00
24	RT	Planned Transmission Outage	PGAE	Bay Area	10/15/2023	20	No	INC	16	6:00	21:45
25	RT	Planned Transmission Outage	PGAE	Bay Area	10/16/2023	20	No	INC	9	6:00	14:30
26	RT	Planned Transmission Outage	PGAE	Bay Area	10/19/2023	22 - 200	No	DEC	4	16:00	20:00
27	RT	Planned Transmission Outage	PGAE	Bay Area	10/19/2023	22	No	INC	1	15:35	16:00
28	RT	Planned Transmission Outage	PGAE	Bay Area	10/28/2023	54	No	INC	13	6:00	19:00
29	RT	Planned Transmission Outage	PGAE	Humboldt	10/3/2023	80	No	INC	19	3:30	22:30
30	RT	Planned Transmission Outage	PGAE	Humboldt	10/12/2023	45 - 60	No	INC	9	7:30	16:00
31	RT	Planned Transmission Outage	PGAE	Humboldt	10/19/2023	30	No	DEC	4	18:55	22:00
32	RT	Planned Transmission Outage	PGAE	Humboldt	10/19/2023	30	No	INC	2	22:00	0:00
33	RT	Planned Transmission Outage	PGAE	Humboldt	10/20/2023	45	No	DEC	13	9:00	22:00
34	RT	Planned Transmission Outage	PGAE	Humboldt	10/20/2023	30 - 45	No	INC	9	0:00	9:00
35	RT	Planned Transmission Outage	PGAE	Humboldt	10/21/2023	30 - 45	No	INC	21	3:50	0:00
36	RT	Planned Transmission Outage	PGAE	Humboldt	10/22/2023	30	No	INC	24	0:00	0:00
37	RT	Planned Transmission Outage	PGAE	Humboldt	10/23/2023	30 - 45	No	INC	20	0:00	20:00
38	RT	Planned Transmission Outage	PGAE	Humboldt	10/24/2023	30 - 60	No	INC	15	9:15	0:00
39	RT	Planned Transmission Outage	PGAE	Humboldt	10/25/2023	30 - 60	No	INC	24	0:00	0:00
40	RT	Planned Transmission Outage	PGAE	Humboldt	10/26/2023	45 - 60	No	INC	24	0:00	0:00
41	RT	Planned Transmission Outage	PGAE	Humboldt	10/27/2023	15 - 45	No	INC	24	0:00	0:00
42	RT	Planned Transmission Outage	PGAE	Humboldt	10/28/2023	15 - 30	No	INC	24	0:00	0:00
43	RT	Planned Transmission Outage	PGAE	Humboldt	10/30/2023	15 - 30	No	INC	14	10:00	0:00
44	RT	Planned Transmission Outage	PGAE	Humboldt	10/31/2023	15	No	DEC	20	0:00	20:00
45	RT	Planned Transmission Outage	PGAE	Humboldt	10/31/2023	15 - 30	No	INC	24	0:00	0:00
46	RT	Planned Transmission Outage	PGAE	Kern	10/12/2023	32	No	INC	8	9:30	17:00
47	RT	Planned Transmission Outage	PGAE	Kern	10/24/2023	5	No	DEC	7	10:15	17:00
48	RT	Planned Transmission Outage	PGAE	Kern	10/24/2023	5	No	INC	2	17:00	19:00
49	RT	Planned Transmission Outage	PGAE	Sierra	10/5/2023	13 - 30	No	DEC	6	12:35	17:45

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
50	RT	Planned Transmission Outage	PGAE	Sierra	10/18/2023	20	No	DEC	1	8:35	9:00
51	RT	Planned Transmission Outage	PGAE	Sierra	10/18/2023	20	No	INC	5	9:00	14:00
52	RT	Planned Transmission Outage	PGAE	NA	10/24/2023	10	No	INC	9	10:15	19:00
53	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/17/2023	670	No	DEC	1	23:25	0:00
54	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/18/2023	670	No	DEC	5	0:00	5:00
55	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/19/2023	675 - 775	No	DEC	15	9:15	0:00
56	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/20/2023	700 - 750	No	DEC	16	8:40	0:00
57	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/21/2023	415 - 700	No	DEC	22	0:00	21:30
58	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/22/2023	425 - 750	No	DEC	5	3:30	8:00
59	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/22/2023	445 - 720	No	INC	4	6:00	10:00
60	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/23/2023	700	No	DEC	6	17:00	22:45
61	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/24/2023	730	No	DEC	5	14:00	19:00
62	RT	Planned Transmission Outage	SCE	Big Creek-Ventura	10/24/2023	730 - 750	No	INC	4	10:10	14:00
63	RT	Planned Transmission Outage	SCE	LA Basin	10/11/2023	0	No	INC	11	2:35	12:45
64	RT	Planned Transmission Outage	SDGE	San Diego-IV	10/6/2023	25	No	INC	4	20:00	0:00
65	RT	Planned Transmission Outage	SDGE	San Diego-IV	10/7/2023	25	Yes	INC	1	0:00	0:15
66	RT	Planned Transmission Outage	SDGE	San Diego-IV	10/23/2023	24.87	No	INC	7	9:15	16:00
67	RT	Planned Transmission Outage	SDGE	San Diego-IV	10/25/2023	0	No	DEC	5	17:00	22:00
68	RT	Planned Transmission Outage	SDGE	San Diego-IV	10/25/2023	0	No	INC	8	15:10	23:00
69	RT	Ramping Capacity	PGAE	Bay Area	10/14/2023	64 - 114.01	No	INC	5	4:00	9:00
70	RT	Ramping Capacity	SCE	Big Creek-Ventura	10/18/2023	401	No	INC	4	16:00	20:00
71	RT	Ramping Capacity	SCE	Big Creek-Ventura	10/19/2023	401	No	INC	5	16:00	21:00
72	RT	Ramping Capacity	SCE	LA Basin	10/14/2023	190 - 251	No	INC	3	7:00	9:30
73	RT	Ramping Capacity	SCE	LA Basin	10/18/2023	190 - 222	No	INC	3	17:35	20:00
74	RT	Ramping Capacity	SCE	LA Basin	10/19/2023	65 - 194	No	INC	5	16:00	21:00
75	RT	Ramping Capacity	SCE	NA	10/14/2023	180 - 240.01	No	INC	2	7:30	9:30
76	RT	Reliability Assessment	PGAE	Bay Area	10/5/2023	20 - 290	No	DEC	4	16:20	20:00
77	RT	Reliability Assessment	PGAE	Bay Area	10/5/2023	20 - 22	No	INC	8	15:50	23:00
78	RT	Reliability Assessment	PGAE	Bay Area	10/6/2023	20	No	INC	1	16:20	16:45

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
79	RT	Reliability Assessment	PGAE	Fresno	10/13/2023	0	No	INC	1	12:30	12:45
80	RT	Reliability Assessment	PGAE	Fresno	10/14/2023	0 - 83	No	INC	3	8:00	10:35
81	RT	Reliability Assessment	PGAE	Humboldt	10/1/2023	15 - 30	No	INC	24	0:00	0:00
82	RT	Reliability Assessment	PGAE	Humboldt	10/2/2023	15 - 60	No	INC	24	0:00	0:00
83	RT	Reliability Assessment	PGAE	Humboldt	10/3/2023	15	No	INC	6	0:00	5:45
84	RT	Reliability Assessment	PGAE	Humboldt	10/5/2023	15	No	INC	1	23:30	0:00
85	RT	Reliability Assessment	PGAE	Humboldt	10/6/2023	15	No	INC	4	0:00	3:30
86	RT	Reliability Assessment	PGAE	Humboldt	10/13/2023	15 - 30	No	DEC	7	16:00	23:00
87	RT	Reliability Assessment	PGAE	Humboldt	10/13/2023	15 - 30	No	INC	15	9:15	0:00
88	RT	Reliability Assessment	PGAE	Humboldt	10/14/2023	30	No	DEC	7	17:00	0:00
89	RT	Reliability Assessment	PGAE	Humboldt	10/14/2023	30	No	INC	17	0:00	17:00
90	RT	Reliability Assessment	PGAE	Humboldt	10/15/2023	15 - 30	No	DEC	8	16:00	0:00
91	RT	Reliability Assessment	PGAE	Humboldt	10/15/2023	30	No	INC	16	0:00	16:00
92	RT	Reliability Assessment	PGAE	Humboldt	10/16/2023	15	No	DEC	4	0:00	3:30
93	RT	Reliability Assessment	PGAE	Humboldt	10/18/2023	30	No	DEC	15	9:35	0:00
94	RT	Reliability Assessment	PGAE	Humboldt	10/19/2023	30	No	DEC	8	12:00	19:15
95	RT	Reliability Assessment	PGAE	Humboldt	10/19/2023	30	No	INC	12	0:00	12:00
96	RT	Reliability Assessment	PGAE	Humboldt	10/23/2023	45	No	INC	4	20:00	0:00
97	RT	Reliability Assessment	PGAE	Humboldt	10/24/2023	30 - 45	No	INC	24	0:00	0:00
98	RT	Reliability Assessment	PGAE	Humboldt	10/25/2023	30	No	INC	8	0:00	7:20
99	RT	Reliability Assessment	PGAE	NCNB	10/7/2023	62	No	DEC	5	17:50	22:00
100	RT	Reliability Assessment	PGAE	Sierra	10/19/2023	20	No	DEC	2	19:35	21:00
101	RT	Reliability Assessment	PGAE	Sierra	10/19/2023	20	No	INC	1	21:00	22:00
102	RT	Reliability Assessment	PGAE	NA	10/22/2023	40	No	INC	5	17:55	22:00
103	RT	Reliability Assessment	PGAE	NA	10/29/2023	0 - 350	No	DEC	2	19:20	21:00
104	RT	Reliability Assessment	SCE	Big Creek-Ventura	10/16/2023	575 - 600	No	DEC	8	15:50	23:00
105	RT	Reliability Assessment	SCE	Big Creek-Ventura	10/17/2023	575 - 650	No	DEC	7	16:50	23:00
106	RT	Reliability Assessment	SCE	Big Creek-Ventura	10/18/2023	650 - 670	No	DEC	15	9:20	0:00
107	RT	Reliability Assessment	SCE	Big Creek-Ventura	10/19/2023	650	No	DEC	2	0:00	2:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
108	RT	Reliability Assessment	SCE	Big Creek-Ventura	10/22/2023	58	No	DEC	2	13:25	15:00
109	RT	Reliability Assessment	SCE	Big Creek-Ventura	10/22/2023	58	No	INC	3	15:00	18:00
110	RT	Reliability Assessment	SCE	NA	10/1/2023	35	No	DEC	6	16:45	22:00
111	RT	Reliability Assessment	SDGE	San Diego-IV	10/22/2023	-48	No	DEC	1	10:20	11:00
112	RT	Reliability Assessment	SDGE	San Diego-IV	10/22/2023	-28	No	INC	4	11:00	15:00
113	RT	SOC Charge	PGAE	Bay Area	10/14/2023	-101	No	DEC	7	1:00	8:00
114	RT	SOC Charge	PGAE	Bay Area	10/14/2023	-21	No	INC	3	5:40	8:00
115	RT	SOC Charge	PGAE	Fresno	10/14/2023	-43	No	DEC	7	1:20	8:00
116	RT	SOC Charge	PGAE	Fresno	10/14/2023	0	No	INC	1	7:25	8:00
117	RT	SOC Charge	PGAE	NA	10/14/2023	-30	No	DEC	6	2:35	8:00
118	RT	SOC Charge	SCE	Big Creek-Ventura	10/14/2023	-62	No	DEC	7	1:35	8:00
119	RT	SOC Charge	SCE	LA Basin	10/14/2023	-53	No	DEC	6	2:10	8:00
120	RT	SOC Charge	SCE	NA	10/14/2023	-111	No	DEC	7	1:15	8:00
121	RT	SOC Charge	SCE	NA	10/14/2023	-42	No	INC	7	1:15	8:00
122	RT	SOC Charge	SDGE	San Diego-IV	10/14/2023	-67	No	DEC	7	1:00	8:00
123	RT	SOC Hold	PGAE	Bay Area	10/14/2023	-44	No	DEC	4	3:30	7:00
124	RT	SOC Hold	PGAE	Bay Area	10/14/2023	28	No	INC	3	5:25	8:00
125	RT	SOC Hold	PGAE	Stockton	10/14/2023	-30	No	DEC	4	1:40	5:15
126	RT	SOC Hold	PGAE	Stockton	10/14/2023	17	No	INC	3	5:15	8:00
127	RT	SOC Hold	SCE	Big Creek-Ventura	10/14/2023	-38	No	DEC	4	2:15	5:20
128	RT	SOC Hold	SCE	Big Creek-Ventura	10/14/2023	-73	No	INC	5	3:00	8:00
129	RT	SOC Hold	SCE	LA Basin	10/14/2023	17	No	INC	4	4:30	8:00
130	RT	SOC Hold	SCE	NA	10/14/2023	38 - 63	No	INC	5	3:25	8:00
131	RT	Software Limitation	PGAE	Kern	10/8/2023	0	No	INC	3	4:40	7:40
132	RT	Software Limitation	PGAE	NA	10/4/2023	142	No	INC	3	11:40	14:00
133	RT	Software Limitation	SCE	Big Creek-Ventura	10/20/2023	0	No	INC	1	4:45	5:45
134	RT	Software Limitation	SCE	LA Basin	10/9/2023	48.29 - 96.65	No	INC	1	2:10	3:00
135	RT	Software Limitation	SCE	LA Basin	10/17/2023	0	No	INC	1	0:00	0:55

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
136	RT	Software Limitation	SCE	LA Basin	10/21/2023	0	No	INC	1	23:45	0:00
137	RT	Software Limitation	SCE	LA Basin	10/22/2023	0	No	INC	1	0:00	0:45
138	RT	Software Limitation	SCE	NA	10/5/2023	180	No	DEC	2	20:15	21:30
139	RT	Unit Testing	PGAE	Bay Area	10/13/2023	280	No	INC	2	18:00	20:00
140	RT	Unit Testing	SCE	LA Basin	10/2/2023	288 - 673	No	INC	2	15:00	17:00
141	RT	Unit Testing	SCE	LA Basin	10/30/2023	-247.33	No	INC	1	10:15	10:55
142	RT	Voltage Support	PGAE	Big Creek-Ventura	10/21/2023	62	No	INC	8	8:00	16:00
143	RT	Voltage Support	PGAE	Fresno	10/1/2023	-315	No	DEC	24	0:00	0:00
144	RT	Voltage Support	PGAE	Fresno	10/1/2023	-315	No	INC	14	10:00	23:30
145	RT	Voltage Support	PGAE	Fresno	10/2/2023	-315	No	DEC	7	0:00	7:00
146	RT	Voltage Support	PGAE	Fresno	10/3/2023	-315	No	DEC	7	0:00	7:00
147	RT	Voltage Support	PGAE	Fresno	10/3/2023	0	No	INC	1	21:40	22:40
148	RT	Voltage Support	PGAE	Fresno	10/4/2023	-315	No	DEC	5	2:30	7:00
149	RT	Voltage Support	PGAE	Fresno	10/5/2023	-315	No	DEC	6	1:40	7:00
150	RT	Voltage Support	PGAE	Fresno	10/6/2023	-315	No	DEC	5	2:00	7:00
151	RT	Voltage Support	PGAE	Fresno	10/7/2023	-315	No	DEC	4	3:45	7:00
152	RT	Voltage Support	PGAE	Fresno	10/8/2023	-315	No	DEC	8	1:00	9:00
153	RT	Voltage Support	PGAE	Fresno	10/9/2023	-315	No	DEC	1	23:15	23:30
154	RT	Voltage Support	PGAE	Fresno	10/9/2023	83	No	INC	2	22:55	0:00
155	RT	Voltage Support	PGAE	Fresno	10/10/2023	83	Yes	INC	24	0:00	0:00
156	RT	Voltage Support	PGAE	Fresno	10/11/2023	-315	No	DEC	3	21:30	0:00
157	RT	Voltage Support	PGAE	Fresno	10/11/2023	83	No	INC	7	0:00	7:00
158	RT	Voltage Support	PGAE	Fresno	10/12/2023	-315	No	DEC	24	0:00	0:00
159	RT	Voltage Support	PGAE	Fresno	10/13/2023	-315	No	DEC	24	0:00	0:00
160	RT	Voltage Support	PGAE	Fresno	10/14/2023	-315	No	DEC	24	0:00	0:00
161	RT	Voltage Support	PGAE	Fresno	10/15/2023	-315	No	DEC	8	0:00	8:00
162	RT	Voltage Support	PGAE	Fresno	10/15/2023	-315	No	INC	1	8:00	9:00
163	RT	Voltage Support	PGAE	Fresno	10/16/2023	-315	No	DEC	7	0:30	7:00
164	RT	Voltage Support	PGAE	Fresno	10/18/2023	-315	No	DEC	8	1:50	9:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
165	RT	Voltage Support	PGAE	Fresno	10/19/2023	-315	No	DEC	5	2:45	7:00
166	RT	Voltage Support	PGAE	Fresno	10/21/2023	-315	No	DEC	24	0:45	0:00
167	RT	Voltage Support	PGAE	Fresno	10/21/2023	-315	No	INC	7	9:00	16:00
168	RT	Voltage Support	PGAE	Fresno	10/22/2023	-315	No	DEC	9	0:00	9:00
169	RT	Voltage Support	PGAE	Fresno	10/28/2023	-315	No	DEC	8	16:40	0:00
170	RT	Voltage Support	PGAE	Fresno	10/29/2023	-315	No	DEC	18	0:00	17:30
171	RT	Voltage Support	PGAE	Fresno	10/30/2023	-315	No	DEC	5	2:30	7:00
172	RT	Voltage Support	PGAE	Humboldt	10/3/2023	30	No	INC	2	22:30	0:00
173	RT	Voltage Support	PGAE	Humboldt	10/4/2023	30	No	DEC	11	13:00	0:00
174	RT	Voltage Support	PGAE	Humboldt	10/4/2023	30	No	INC	13	0:00	13:00
175	RT	Voltage Support	PGAE	Humboldt	10/5/2023	30	No	INC	6	0:00	5:15
176	RT	Voltage Support	PGAE	Humboldt	10/6/2023	45	No	INC	1	23:30	0:00
177	RT	Voltage Support	PGAE	Humboldt	10/7/2023	30	No	DEC	2	22:15	0:00
178	RT	Voltage Support	PGAE	Humboldt	10/7/2023	45	No	INC	3	0:00	3:00
179	RT	Voltage Support	PGAE	Humboldt	10/8/2023	30	No	INC	24	0:00	0:00
180	RT	Voltage Support	PGAE	Humboldt	10/9/2023	15 - 30	No	INC	24	0:00	0:00
181	RT	Voltage Support	PGAE	Humboldt	10/10/2023	15	No	DEC	3	6:00	9:00
182	RT	Voltage Support	PGAE	Humboldt	10/10/2023	15 - 30	No	INC	24	0:00	0:00
183	RT	Voltage Support	PGAE	Humboldt	10/11/2023	30	No	INC	24	0:00	0:00
184	RT	Voltage Support	PGAE	Humboldt	10/12/2023	30	No	DEC	7	16:00	23:00
185	RT	Voltage Support	PGAE	Humboldt	10/12/2023	30 - 60	No	INC	24	0:00	0:00
186	RT	Voltage Support	PGAE	Humboldt	10/13/2023	15 - 30	No	INC	10	0:00	9:30
187	RT	Voltage Support	PGAE	Humboldt	10/16/2023	30	No	DEC	14	8:45	22:00
188	RT	Voltage Support	PGAE	Humboldt	10/16/2023	30	No	INC	2	22:00	0:00
189	RT	Voltage Support	PGAE	Humboldt	10/17/2023	30	No	DEC	9	14:00	23:00
190	RT	Voltage Support	PGAE	Humboldt	10/17/2023	15 - 30	No	INC	24	0:00	0:00
191	RT	Voltage Support	PGAE	Humboldt	10/18/2023	30	No	DEC	4	6:00	10:00
192	RT	Voltage Support	PGAE	Humboldt	10/18/2023	30	No	INC	6	0:00	6:00
193	RT	Voltage Support	PGAE	Humboldt	10/29/2023	15 - 30	No	DEC	24	0:00	0:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
194	RT	Voltage Support	PGAE	Humboldt	10/29/2023	15 - 45	No	INC	17	0:15	17:00
195	RT	Voltage Support	PGAE	Humboldt	10/30/2023	30	No	INC	22	0:00	22:00
196	RT	Voltage Support	PGAE	Kern	10/1/2023	32	No	INC	10	2:30	12:00
197	RT	Voltage Support	PGAE	Sierra	10/5/2023	20	No	DEC	2	18:00	20:00
198	RT	Voltage Support	PGAE	Sierra	10/5/2023	20	No	INC	15	9:25	0:00
199	RT	Voltage Support	PGAE	Sierra	10/27/2023	20	Yes	INC	21	3:45	0:00
200	RT	Voltage Support	PGAE	Sierra	10/28/2023	20	Yes	INC	24	0:00	0:00
201	RT	Voltage Support	PGAE	Sierra	10/29/2023	20	No	INC	24	0:00	0:00
202	RT	Voltage Support	PGAE	Sierra	10/30/2023	20	Yes	INC	7	0:00	7:00
203	RT	Voltage Support	PGAE	NA	10/21/2023	50 - 95	No	INC	16	1:00	17:00
204	RT	Voltage Support	PGAE	NA	10/22/2023	50 - 95	No	INC	16	1:00	17:00

Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

Table 2: Instructions Prior to Day-Ahead Market

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	B	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	C	SCE	LA BASIN	09:00	23:00	20	7630

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

Table 3: FERC Summary of Instructions Prior to DAM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00

Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Table 4: Incremental Exceptional Dispatch Instructions in RTM

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	B	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	C	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	C	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Table 5: FERC Summary of ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Table 6: Decremental Exceptional Dispatch Instructions in RTM

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	B	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	C	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00