

Appendix A

- Affected System Facilities Construction Agreement

The agreement contained in Appendix 13 to the RIS between the CAISO and Affected System Interconnection Customer to facilitate the construction of and to set forth cost responsibility for necessary Affected System Network Upgrades on the CAISO Controlled Grid.

- Affected System Interconnection Customer

Any entity that submits an interconnection request for a generating facility to a transmission system other than the CAISO's Transmission System that may cause the need for Affected System Network Upgrades on the CAISO Controlled Grid.

- Affected System Network Upgrades

The additions, modifications, and upgrades to the CAISO Controlled Grid required to accommodate Affected System Interconnection Customer's proposed interconnection to a transmission system other than the CAISO Controlled Grid.

- Affected System Queue Position

The queue position of an Affected System Interconnection Customer in the CAISO's interconnection queue relative to the CAISO's Interconnection Customers' Queue Positions.

- Affected System Study

The evaluation of Affected System Interconnection Customers' proposed interconnection(s) to a transmission system other than the CAISO Controlled Grid that have an impact on the CAISO Controlled Grid, as described in Section 14.5 of the RIS.

- Affected System Study Agreement

The agreement contained in Appendix 11 to the RIS between the CAISO and Affected System Interconnection Customer to conduct an Affected System Study pursuant to Section 14.5 of the RIS.

- Affected System Study Report

The report issued following completion of an Affected System Study pursuant to Section 14.5.6 of the RIS.

- Cluster Application Window

The time period for submitting Interconnection Requests as set forth in Section 3.3 of Appendix KK.

- Cluster Restudy

A restudy of a Cluster Study conducted pursuant to Section 7.4 of the RIS.

- Cluster Restudy Report

The report issued following completion of a Cluster Restudy pursuant to Section 7.4 of the RIS.

- Cluster Study Agreement

The pro forma agreement between the CAISO and the Interconnection Customer for conducting Interconnection Studies for a proposed Generating Facility under Appendix KK.

- Cluster Study Process

The following processes, conducted in sequence: the Cluster Application Window; the Customer Engagement Window and Scoping Meetings therein; the Cluster Study; any needed Cluster Restudies; and the Interconnection Facilities Study.

- Cluster Study Report Meeting

The meeting held to discuss the results of a Cluster Study pursuant to Section 7 of the RIS.

- Commercial Readiness Deposit

A deposit paid as set forth in Sections 3.5.1, 7.5, and 8.1.1 of the RIS.

- Current Cost Responsibility (CCR)

The Interconnection Customer's current allocated costs for Assigned Network Upgrades, not to exceed the Maximum Cost Responsibility. This cost is used to calculate the Interconnection Customer's Interconnection Financial Security, Commercial Readiness Deposit, and GIA Deposit requirements, as applicable.

- Customer Engagement Window

The time period set forth in Section 3.5.2 of the RIS.

- Electric Reliability Organization

The North American Electric Reliability Corporation (NERC) and the Western Electricity Coordinating Council (WECC) or their successor organizations.

- Generating Facility Capacity

The net capacity of the Generating Facility or the aggregate net capacity of the Generating Facility where it includes more than one Generating Unit for the production and/or storage for later injection of electricity.

- Generating Unit

An individual electric generator, or storage for later injection of electricity, and its associated plant and apparatus whose electrical output is capable of being separately identified and metered or a Physical Scheduling Plant that, in either case, is: (a) located

within the CAISO Balancing Authority Area (which includes a Pseudo-Tie of a generating unit to the CAISO Balancing Authority Area) or, for purposes of scheduling and operating the Real-Time Market only, an EIM Entity Balancing Authority Area; (b) connected to the CAISO Controlled Grid, either directly or via interconnected transmission, or distribution facilities or via a Pseudo-Tie; and (c) capable of producing or storing and then delivering net Energy (Energy in excess of a generating station's internal power requirements).

- Generator Interconnection Agreement (GIA)

A pro forma Interconnection Agreement applicable to an Interconnection Request for a Generating Facility processed under the interconnection procedures set forth in Appendices Y, DD, or KK. Includes the Large Generator Interconnection Agreement and the Small Generator Interconnection Agreement.

- Generator Interconnection Study Process Agreement (GISPA)

The pro forma agreement between the CAISO and the Interconnection Customer for conducting Interconnection Studies for a proposed Generating Facility under Appendices Y and DD..

- GIA Deposit

The deposit Interconnection Customer submits when returning the executed GIA, or within ten (10) Business Days of requesting that the GIA be filed unexecuted at the Commission, in accordance with Section 11 of the RIS.

- Identified Affected System

An Affected System Operator that responds affirmatively to CAISO notification.

- Interconnection Agreement

A contract among a party requesting interconnection, the CAISO, and the Participating TO that owns the transmission facility with which the requesting party wishes to interconnect.

- Interconnection Facilities Study

A study conducted by the Participating TO(s), CAISO, or a third party consultant for the Interconnection Customer to determine a list of facilities (including the Participating TO's Interconnection Facilities, Network Upgrades, and Distribution Upgrades), as identified in the Cluster Study, the cost of those facilities, and the time required to interconnect the Generating Facility with the CAISO Controlled Grid. The scope of the study is defined in Section 8 of the RIS (Appendix KK).

- Interconnection Facilities Study Report

The report issued following completion of an Interconnection Facilities Study pursuant to Section 8 of the RIS.

- Interconnection Request

An Interconnection Customer's request, in the form of Appendix 1 to Appendix KK, in accordance with Section 25.1 of the CAISO Tariff.

- Interconnection Study

Any of the following studies: the Interconnection Feasibility Study, the Interconnection System Impact Study, the Interconnection Facilities Study, the Phase I Interconnection Study, the Phase II Interconnection Study, the Cluster Study, the Cluster Restudy, the Surplus Interconnection Service Study, the Affected System Study, or the reassessment of any of these.

- Interconnection Study Cycle

All requirements, actions, and respective obligations of the CAISO, Participating TO, and Interconnection Customer applicable to an Interconnection Request submitted in the applicable annual Cluster Application Window and including execution by the parties or submission to FERC by one or more parties of a GIA.

- Interconnection Study Deposit

The cash deposit provided to the CAISO by Interconnection Customers as a requirement of a valid Interconnection Request to be used to offset the cost of the Interconnection Studies.

- Large Generator Interconnection Agreement (LGIA)

The pro forma Interconnection Agreement applicable to an Interconnection Request for a Large Generating Facility.

- Material Modification

A modification that has a material impact on the cost or timing of any Interconnection Request or any other valid interconnection request with an equal or later Queue Position.

- Maximum Cost Exposure (MCE)

The sum of (1) the Interconnection Customer's Maximum Cost Responsibility and (2) the Conditionally Assigned Network Upgrades from its Interconnection Studies.

- Maximum Cost Responsibility (MCR)

The sum of the Interconnection Customer's (1) full cost of assigned Interconnection Reliability Network Upgrades and (2) allocated costs for all other Assigned Network Upgrades, from its Interconnection Facilities Study, not to exceed the Maximum Cost Exposure.

- Off-Peak Deliverability Assessment

The technical study performed in Interconnection Studies to study if Generating Facilities can provide expected Energy to the CAISO Controlled Grid during modeled off-peak Load conditions without excessive curtailment due to transmission constraints.

- On-Peak Deliverability Assessment

The technical study performed in Interconnection Studies to determine if a Generating Facility or a group of Generating Facilities requesting Full Capacity Deliverability Status or Partial Capacity Deliverability Status can provide Energy to the CAISO Controlled Grid and be delivered to the aggregate of Load on the CAISO Controlled Grid at peak Load, under a variety of modeled stressed conditions.

- Option (A) Generating Facility

A Generating Facility for which the Interconnection Customer has selected Option (A) as the Deliverability option under Section 7.2 of Appendix DD or KK.

- Option (B) Generating Facility

Generating Facilities for which the Interconnection Customer has selected Option (B) as the Deliverability option under Section 7.2 of Appendices DD or KK.

- Pre-Construction Activities

Actions by a Participating TO, other than those required by an Engineering and Procurement Agreement, undertaken prior to Construction Activities in order to prepare for the construction of Participating TO's Interconnection Facilities or Network Upgrades assigned to the Interconnection Customer, including, but not limited to, preliminary engineering, permitting activities, environmental analysis, or other activities specifically

needed to obtain governmental approvals for the Participating TO's Interconnection Facilities or Network Upgrades.

- Queue Cluster

A set of Interconnection Requests processed collectively in an Interconnection Study Cycle other than pursuant to the Fast Track Process or the Independent Study Process.

- Queue Position

The order of a valid Interconnection Request, relative to all other pending valid Interconnection Requests, established pursuant to Section 6.1.3 of the RIS.

- Resource Interconnection Standards (RIS)

Appendix KK to the CAISO Tariff.

- Scoping Meeting

The meeting among representatives of the Interconnection Customer, the applicable Participating TO, and the CAISO conducted for the purpose of discussing the proposed Interconnection Request and any alternative interconnection options, exchanging information including any transmission data and earlier study evaluations that would be reasonably expected to impact such interconnection options, refining information and models provided by Interconnection Customer(s), discussing the Cluster Study materials posted to OASIS pursuant to Section 3.5 of the GIDAP, and analyzing such information.

- Site Control

The exclusive land right to develop, construct, operate, and maintain the Generating Facility over the term of expected operation of the Generating Facility. Site Control may be demonstrated by documentation establishing: (1) ownership of, a leasehold interest in, or a right to develop a site of sufficient size to construct and operate the Generating Facility; (2) an option to purchase or acquire a leasehold site of sufficient size to construct and operate the Generating Facility; or (3) any other documentation that clearly demonstrates the right of Interconnection Customer to exclusively occupy a site of sufficient size to construct and operate the Generating Facility. The CAISO will maintain acreage requirements for each Generating Facility type on its Website.

- Small Generator Interconnection Agreement

The pro forma Interconnection Agreement applicable to an Interconnection Request for a Small Generating Facility.

- Withdrawal Penalty

The penalty assessed by the CAISO to an Interconnection Customer that chooses to withdraw or is deemed withdrawn from the CAISO's interconnection queue or whose Generating Facility does not otherwise reach Commercial Operation. The calculation of the Withdrawal Penalty is set forth in Section 3.8.1 of the RIS.

Not current tariff language.

Appendix B.23

[Not Used]