| **Section** | **Description of change** | **Proposed Blackline** |
| --- | --- | --- |
| Section 11.12.3.2 | PIRP Process fee refers to Appendix F Schedule 4 which is the Forecast Fee not the Process Fee. The Process fee which was Appendix F Schedule 5 has been eliminated. |  |
| Section 25.1.2 |  | 25.1.2 Affidavit Requirement If the owner of a Generating Unit described in Section 25.1(d), or its designee, represents that the total generating capability and electrical characteristics of the Generating Unit will be substantially unchanged from the previously approved generating capability, then that entity must submit an affidavit to the CAISO and the applicable Participating TO representing that the total generating capability and electrical characteristics of the Generating Unit have remained substantially unchanged. However, if there is any change to the total generating capability and electrical characteristics of the Generating Unit, the affidavit shall include supporting information describing any such changes. The CAISO and the applicable Participating TO shall have the right to verify whether or not the total generating capability or electrical characteristics of the Generating Unit have substantially changed or will substantially change. The CAISO may, at its option, engage the services of the applicable Participating TO in conducting such verification activities, in which case the costs shall be borne by the party making the request under Section 25.1.2, and such costs shall be included in a CAISO invoice for verification activities. |
| Appendix S  Section 1.3.3 | IPE  Gray shaded language reflects language currently pending on compliance with FERC. | 1.3.3 Interconnection Request  The Interconnection Customer shall submit its Interconnection Request to the CAISO, together with the processing fee or deposit specified in the Interconnection Request. The Interconnection Request shall be date- and time-stamped upon receipt. The original date and time stamp applied to the Interconnection Request at the time of its original submission shall be accepted as the qualifying date- and time-stamp for the purposes of any timetable in these procedures. The Interconnection Customer shall be notified of receipt by the CAISO within three (3) Business Days of receiving the Interconnection Request. The CAISO shall notify the Interconnection Customer within ten (10) Business Days of the receipt of the Interconnection Request as to whether the Interconnection Request is complete or incomplete. If the Interconnection Request is incomplete, the CAISO shall provide a notice that the Interconnection Request is incomplete, along with a written list detailing all information that must be provided to complete the Interconnection Request. The Interconnection Customer will have ten (10) Business Days after receipt of the notice to submit the listed information or to request an extension of time to provide such information. If the Interconnection Customer does not provide the listed information or a request for an extension of time within the deadline, the Interconnection Request will be deemed withdrawn. An Interconnection Request will be deemed complete upon submission of the listed information to the CAISO.  The expected In-Service Date of the new Small Generating Facility shall not exceed seven years from the date the Interconnection Request is received by the CAISO, unless the Interconnection Customer demonstrates that engineering, permitting and construction of the new Small Generating Facility or increase in capacity of the existing Generating Facility will take longer. The In-Service Date may exceed the date the Interconnection Request is received by the CAISO by a period up to ten years, or longer where the Interconnection Customer, the applicable Participating TO and the CAISO agree, such agreement not to be unreasonably withheld. |
| Appendix S  Section 1.3.4 | IPE | Modifications  The Interconnection Customer shall submit to the CAISO, in writing, modifications to any information provided in the Interconnection Request. The Interconnection Customer shall retain its Queue Position if the modifications are determined not to be Material Modifications pursuant to SGIP Section 1.3.4.1. Notwithstanding the above, during the course of the Interconnection Studies, the Interconnection Customer, the applicable Participating TO(s), or the CAISO may identify changes to the planned interconnection that may improve the costs and benefits (including reliability) of the interconnection, and the ability of the proposed change to accommodate the Interconnection Request. To the extent the identified changes are acceptable to the applicable Participating TO(s), the CAISO, and Interconnection Customer, such acceptance not to be unreasonably withheld, the CAISO shall modify the Point of Interconnection and/or configuration in accordance with such changes and the Interconnection Customer shall retain its Queue Position . |
| Appendix S  Section 1.3.4.1 | IPE | **1.3.4.1** Prior to making any modification, the Interconnection Customer must first request that the CAISO evaluate whether such modification is a Material Modification. In response to the Interconnection Customer's request, the CAISO, in coordination with the affected Participating TO, shall evaluate the proposed modifications and the CAISO shall inform the Interconnection Customer in writing of whether the modifications would constitute a Material Modification. The CAISO may engage the services of the applicable Participating TO to assess the modification, in which case costs for both the Participating TO and CAISO shall be borne by the party making the request under Section 1.3.4, and such costs shall be included in any CAISO invoice for modification assessment activities. Any change to the Point of Interconnection, except those deemed acceptable under SGIP Section 1.3.4 or so allowed elsewhere, shall constitute a Material Modification. The Interconnection Customer may then withdraw the proposed modification or proceed with a new Interconnection Request for such modification. |
| Appendix S  Section 1.3.4.2 | IPE | **1.3.4.2** The Interconnection Customer shall provide the CAISO a $10,000 deposit for the modification assessment at the time the request is submitted. Alternatively, the Interconnection Customer may elect to use existing study funds to the extent that the CAISO is still holding at least $10,000 in study funds that have not already been encumbered. Except as provided below, any modification assessment will be concluded, and a response provided to the Interconnection Customer in writing, within forty-five (45) calendar days from the date the CAISO receives all of the following: the Interconnection Customer’s written notice to modify the project, technical data required to assess the request and payment of the $10,000 deposit. If the modification assessment cannot be completed within that time period, the CAISO shall notify the Interconnection Customer and provide an estimated completion date with an explanation of the reasons why additional time is required. The Interconnection Customer will be responsible for the actual costs incurred by the CAISO and applicable Participating TO(s) in conducting the modification assessment. If the actual costs of the modification assessment are less than the deposit provided by the Interconnection Customer, the Interconnection Customer will be refunded the balance. If the actual costs of the modification assessment are greater than the deposit provided by the Interconnection Customer, the Interconnection Customer shall pay the balance when invoiced. The CAISO shall coordinate the modification request results with the Participating TO(s). |
| Appendix S  Attachment 5  Terms and Conditions 2.3.2 | Insert space | 2.3.2 If the Company does not schedule an inspection of the Small Generating Facility within ten business days after receiving the Certificate of Completion, the witness test is deemed waived (unless the Parties agree otherwise); or |
| Appendix T  Section 3.4.5 | IPE | 3.4.5 Modification of the Small Generating Facility  Prior to making any modification to the Small Generating Facility, the Interconnection Customer must first request that the CAISO evaluate whether any such proposed modification is a Material Modification and receive written authorization from the Participating TO and the CAISO. Such authorization shall not be unreasonably withheld. The CAISO may engage the services of the applicable Participating TO in the CAISO’s conducting any such modification assessment, in which case costs for both the Participating TO and CAISO shall be borne by the party making the request under Section 1.3.4 of Appendix S, and such costs shall be included in any CAISO invoice for modification assessment activities. Modifications shall be done in accordance with Good Utility Practice. If the Interconnection Customer makes such modification without the Participating TO's and the CAISO’s prior written authorization, the Participating TO or the CAISO shall have the right to temporarily disconnect the Small Generating Facility. Any change to the Point of Interconnection, except those deemed acceptable under this article of the SGIA or so allowed elsewhere, shall constitute a Material Modification. The Interconnection Customer may then withdraw the proposed modification or proceed with a new Interconnection Request for such modification. |
| Appendix U  Section 4.4.3 | IPE | Prior to making any modification other than those specifically permitted by LGIP Sections 4.4.1, 4.4.2, and 4.4.5, the Interconnection Customer must first request that the CAISO evaluate whether such modification is a Material Modification. In response to the Interconnection Customer's request, the CAISO, in coordination with the affected Participating TO, shall evaluate the proposed modifications and the CAISO shall inform the Interconnection Customer in writing of whether the modifications would constitute a Material Modification. The CAISO may engage the services of the applicable Participating TO to assess the modification, in which case costs for both the Participating TO and CAISO shall be borne by the party making the request under Section 5.1, and such costs shall be included in any CAISO invoice for modification assessment activities. Any change to the Point of Interconnection, except those deemed acceptable under LGIP Sections 4.4.1, 6.1, 7.2 or so allowed elsewhere, shall constitute a Material Modification. The Interconnection Customer may then withdraw the proposed modification or proceed with a new Interconnection Request for such modification. |
| Appendix U  Section 4.4.6 | IPE | **4.4.6** The Interconnection Customer shall provide the CAISO a $10,000 deposit for the modification assessment at the time the request is submitted. Alternatively, the Interconnection Customer may elect to use existing study funds to the extent that the CAISO is still holding at least $10,000 in study funds that have not already been encumbered. Except as provided below, any modification assessment will be concluded, and a response provided to the Interconnection Customer in writing, within forty-five (45) calendar days from the date the CAISO receives all of the following: the Interconnection Customer’s written notice to modify the project, technical data required to assess the request and payment of the $10,000 deposit. If the modification assessment cannot be completed within that time period, the CAISO shall notify the Interconnection Customer and provide an estimated completion date with an explanation of the reasons why additional time is required. The Interconnection Customer will be responsible for the actual costs incurred by the CAISO and applicable Participating TO(s) in conducting the modification assessment. If the actual costs of the modification assessment are less than the deposit provided by the Interconnection Customer, the Interconnection Customer will be refunded the balance. If the actual costs of the modification assessment are greater than the deposit provided by the Interconnection Customer, the Interconnection Customer shall pay the balance when invoiced. The CAISO shall coordinate the modification request results with the Participating TO(s). |
| Appendix U  Section 5.2 | IPE | If the CAISO no longer has control of the portion of the CAISO Controlled Grid at the Point of Interconnection during the period when an Interconnection Request is pending, the CAISO shall transfer to applicable Participating TO which has ownership of the Point of Interconnection any amount of the deposit or payment with interest thereon that exceeds the cost that it incurred to evaluate the request for interconnection. Any difference between such net deposit amount and the costs that the successor Participating TO incurs to evaluate the request for interconnection shall be paid by or refunded to the Interconnection Customer, as appropriate. The CAISO shall coordinate with the applicable Participating TO which has ownership of the Point of Interconnection to complete any Interconnection Study, as appropriate, that the CAISO has begun but has not completed. If the Participating TO has tendered a draft LGIA to the Interconnection Customer but the Interconnection Customer has neither executed the LGIA or requested the filing of an unexecuted LGIA with FERC, unless otherwise provided, the Interconnection Customer must complete negotiations with the applicable Participating TO which has the ownership of the Point of Interconnection. |
| Appendix U  Section 11.1.1 | IPE | 11.1.1 Within thirty (30) calendar days after the Interconnection Customer has its Results Meeting to discuss the draft Interconnection Facilities Study report, the applicable Participating TO(s) shall tender a draft LGIA, together with draft appendices. The draft LGIA shall be in the form of the FERC-approved standard form LGIA set forth in CAISO Tariff Appendix V. The Interconnection Customer shall provide written comments, or notification of no comments, to the draft appendices to the applicable Participating TO(s) and the CAISO within (30) calendar days of receipt. |
| Appendix U  Section 11.2 | IPE | 11.2 Negotiation Notwithstanding LGIP Section 11.1, at the request of the Interconnection Customer, the applicable Participating TO(s) and CAISO shall begin negotiations with the Interconnection Customer concerning the appendices to the LGIA at any time after the Interconnection Customer executes the Interconnection Facilities Study Agreement. The applicable Participating TO(s) and CAISO and the Interconnection Customer shall negotiate concerning any disputed provisions of the appendices to the draft LGIA for not more than sixty (60) calendar days after tender of the final Interconnection Facilities Study report. If the Interconnection Customer determines that negotiations are at an impasse, it may request termination of the negotiations at any time after tender of the draft LGIA pursuant to LGIP Section 11.1 and request submission of the unexecuted LGIA with FERC or initiate Dispute Resolution procedures pursuant to LGIP Section 13.5. If the Interconnection Customer requests termination of the negotiations, but within ninety (90) calendar days after issuance of the final Interconnection Facilities Study report fails to request either the filing of the unexecuted LGIA or initiate Dispute Resolution, it shall be deemed to have withdrawn its Interconnection Request. Unless otherwise agreed by the Parties, if the Interconnection Customer has not executed and returned the LGIA, requested filing of an unexecuted LGIA, or initiated Dispute Resolution procedures pursuant to LGIP Section 13.5 within ninety (90) calendar days after issuance of the final Interconnection Facilities Study report, it shall be deemed to have withdrawn its Interconnection Request. The CAISO shall provide to the Interconnection Customer a final LGIA within ten (10) Business Days after the completion of the negotiation process and receipt of all requested information. |
| Appendix V  Section 5.16 | IPE | 5.16 Suspension. The Interconnection Customer reserves the right, upon written notice to the Participating TO and the CAISO, to suspend at any time all work associated with the construction and installation of the Participating TO's Interconnection Facilities, Network Upgrades, and/or Distribution Upgrades required under this LGIA, other than Network Upgrades identified in the Phase II Interconnection Study as common to multiple Generating Facilities, with the condition that the Participating TO’s electrical system and the CAISO Controlled Grid shall be left in a safe and reliable condition in accordance with Good Utility Practice and the Participating TO’s safety and reliability criteria and the CAISO’s Applicable Reliability Standards. In such event, the Interconnection Customer shall be responsible for all reasonable and necessary costs which the Participating TO (i) has incurred pursuant to this LGIA prior to the suspension and (ii) incurs in suspending such work, including any costs incurred to perform such work as may be necessary to ensure the safety of persons and property and the integrity of the Participating TO’s electric system during such suspension and, if applicable, any costs incurred in connection with the cancellation or suspension of material, equipment and labor contracts which the Participating TO cannot reasonably avoid; provided, however, that prior to canceling or suspending any such material, equipment or labor contract, the Participating TO shall obtain Interconnection Customer's authorization to do so. |
| Appendix V  Section 5.17.3 | Insert missing section number | 5.17.3 Indemnification for the Cost Consequence of Current Tax Liability Imposed Upon the Participating TO. Notwithstanding Article 5.17.1, the Interconnection Customer shall protect, indemnify and hold harmless the Participating TO from the cost consequences of any current tax liability imposed against the Participating TO as the result of payments or property transfers made by the Interconnection Customer to the Participating TO under this LGIA for Interconnection Facilities, as well as any interest and penalties, other than interest and penalties attributable to any delay caused by the Participating TO. |
| Appendix Y  Section 3.5.1.1(d) | This proposed change is to align the ISO tariff with PTO wholesale distribution tariffs (WDATS) that provide that funds forfeited under WDATs are allocated by the ISO in accordance with the ISO tariff. | All non-refundable portions of the Interconnection Study Deposit that exceed the costs the CAISO, Participating TOs, or third parties have incurred on the Interconnection Customer’s behalf shall be treated in accordance with CAISO Tariff Section 37.9.4. In addition, any funds received by the CAISO from a Participating TO, pursuant to a requirement in the Participating TO’s wholesale distribution tariff for funds to be distributed by the CAISO, shall be treated in accordance with CAISO Tariff Section 37.9.4. |
| Appendix Y  Section 6.9.2.2 | IPE | For any modification other than these, the Interconnection Customer must first request that the CAISO evaluate whether such modification is a Material Modification. In response to the Interconnection Customer's request, the CAISO, in coordination with the affected Participating TO(s) and, if applicable, any Affected System Operator, shall evaluate the proposed modifications prior to making them and the CAISO shall inform the Interconnection Customer in writing of whether the modifications would constitute a Material Modification. The CAISO may engage the services of the applicable Participating TO to assess the modification, in which case costs for both the Participating TO and CAISO shall be borne by the party making the request under Section 6.9.2, and such costs shall be included in any CAISO invoice for modification assessment activities. Any change to the Point of Interconnection, except for that specified by the CAISO in an Interconnection Study or otherwise allowed under this GIP Section 6.9.2, shall constitute a Material Modification. The Interconnection Customer may then withdraw the proposed modification or proceed with a new Interconnection Request for such modification. |
| Appendix Y  Section 6.9.2.3 | IPE | **6.9.2.3** The Interconnection Customer shall provide the CAISO a $10,000 deposit for the modification assessment at the time the request is submitted. Alternatively, the Interconnection Customer may elect to use existing study funds to the extent that the CAISO is still holding at least $10,000 in study funds that have not already been encumbered. Except as provided below, any modification assessment will be concluded, and a response provided to the Interconnection Customer in writing, within forty-five (45) calendar days from the date the CAISO receives all of the following: the Interconnection Customer’s written notice to modify the project, technical data required to assess the request and payment of the $10,000 deposit. If the modification assessment cannot be completed within that time period, the CAISO shall notify the Interconnection Customer and provide an estimated completion date with an explanation of the reasons why additional time is required. The Interconnection Customer will be responsible for the actual costs incurred by the CAISO and applicable Participating TO(s) in conducting the modification assessment. If the actual costs of the modification assessment are less than the deposit provided by the Interconnection Customer, the Interconnection Customer will be refunded the balance. If the actual costs of the modification assessment are greater than the deposit provided by the Interconnection Customer, the Interconnection Customer shall pay the balance when invoiced. The CAISO shall coordinate the modification request results with the Participating TO(s). |
| Appendix Y  Section 9.2.3 | Typographical errors | First, each Interconnection Customer for a Small Generating Facility assigned to a Queue Cluster and or each Interconnection Customer for a Small Generating Facility in the Independent Study Process shall post an Interconnection Financial Security instrument in an amount equal to the lesser of fifteen percent (15%) of the total cost responsibility assigned to the Interconnection Customer in the final Phase I Interconnection Study or System Impact Study for Network Upgrades or (ii) $20,000 per megawatt of electrical output of the Small Generating Facility or the amount of megawatt increase in the generating capacity of each existing Generating Facility as listed by the Interconnection Customer in its Interconnection Request, including any requested modifications thereto, but in no event less than $50,000.  Each Interconnection Customer for a Large Generating Facility assigned to a Queue Cluster and each Interconnection Customer for a Large Generating Facility in the Independent Study Process shall post an Interconnection Financial Security instrument in an amount equal to the lesser of (i) fifteen percent (15%) of the total cost responsibility assigned to the Interconnection Customer in the final Phase I Interconnection Study or System Impact Study for Network Upgrades, (ii) $20,000 per megawatt of electrical output of the Large Generating Facility or the amount of megawatt increase in the generating capacity of each existing Generating Facility as listed by the Interconnection Customer in its Interconnection Request, including any requested modifications thereto, or (iii) $7,500,000, but in no event less than $500,000. |
| Appendix Y  Section 9.2.3 | Clarification to remove redundant and confusing statement | In addition, if an Interconnection Customer switches its status from Full Capacity Deliverability Status to Energy-Only Deliverability Status within five (5) Business Days following the Phase I Interconnection Study Results Meeting, as permitted in Section 7.1 of this GIP, the required Interconnection Financial Security for Network Upgrades shall, for purposes of this section, be additionally capped at an amount no greater than the total cost responsibility assigned to the Interconnection Customer in the Phase I Interconnection Study for Reliability Network Upgrades. |
| Appendix Y  9.2.4.1 | Typographical error | **For Small Generating Facilities.** Each Interconnection Customer for a Small Generating Facility assigned to a Queue Cluster and each Interconnection Customer for a Small Generating Facility in the Independent Study Process shall post an Interconnection Financial Security instrument in an amount equal to the lesser of (i) fifteen (15) percent of the total cost responsibility assigned to the Interconnection Customer in the final Phase I Interconnection Study or System Impact Study for Participating TO’s Interconnection Facilities or (ii) $20,000 per megawatt of electrical output of the Small Generating Facility or the amount of megawatt increase in the generating capacity of each existing Generating Facility as listed by the Interconnection Customer in its Interconnection Request, including any requested modifications thereto, but in no event less than $50,000. |
| Appendix Y  Section 9.2.5 |  | 9.2.5 Consequences for Failure to Post. The failure by an Interconnection Customer to timely post the Interconnection Financial Security required by this GIP Section 9.2 shall result in the Interconnection Request being deemed withdrawn and subject to GIP Section 3.8. The Interconnection Customer shall provide the CAISO and the Participating TO with written notice that it has posted the required Interconnection Financial Security no later than the applicable final day for posting. |
| Appendix Y Section 9.4.2.6 | This change is made to address payments received by the CAISO from Participating TOs in accordance with terms of the Participating TOs WDAT | The applicable Participating TO(s) shall notify the CAISO within one (1) Business Day of liquidating any Interconnection Financial Security. Within twenty (20) calendar days of any liquidating event, the applicable Participating TO(s) shall provide the CAISO and Interconnection Customer with an accounting of the disposition of the proceeds of the liquidated Interconnection Financial Security and remit to the CAISO all proceeds not otherwise reimbursed to the Interconnection Customer or applied to costs incurred or irrevocably committed by the applicable Participating TO(s) on behalf of the Interconnection Customer in accordance with this GIP Section 9.4. All non-refundable portions of the Interconnection Financial Security remitted to the CAISO in accordance with this GIP Section 9.4 shall be treated in accordance with CAISO Tariff Section 37.9.4. In addition, any funds received by the CAISO from a Participating TO, pursuant to a requirement in the Participating TO’s wholesale distribution tariff for funds to be distributed by the CAISO, shall be treated in accordance with CAISO Tariff Section 37.9.4. |
| Appendix Y  Section 11.1.1 | IPE | **11.1.1** Within thirty (30) Calendar Days after Interconnection Customer has its Results Meeting to discuss the final Phase II Interconnection Study report, or the Facilities Study report (or System Impact Study report if the Facilities Study is waived) to the Interconnection Customer, the applicable Participating TO(s) shall tender a draft GIA, together with draft appendices. The draft GIA shall be in the form of the FERC-approved form of GIA set forth in CAISO Tariff Appendix T or Appendix CC, as applicable. The Interconnection Customer shall provide written comments, or notification of no comments, to the draft appendices to the applicable Participating TO(s) and the CAISO within (30) calendar days of receipt. |
| Appendix Y 11.2 | IPE | 11.2 Negotiation Notwithstanding GIP Section 11.1, at the request of the Interconnection Customer, the applicable Participating TO(s) and CAISO shall begin negotiations with the Interconnection Customer concerning the appendices to the GIA at any time after the CAISO provides the Interconnection Customer with the final Phase II Interconnection Study report. The applicable Participating TO(s) and CAISO and the Interconnection Customer shall negotiate concerning any disputed provisions of the appendices to the draft GIA for not more than one hundred-twenty (120) calendar days after the CAISO provides the Interconnection Customer with the final Phase II Interconnection Study report, or the Facilities Study report (or System Impact Study report if the Facilities Study is waived). If the Interconnection Customer determines that negotiations are at an impasse, it may request termination of the negotiations at any time after tender of the draft GIA pursuant to GIP Section 11.1 and request submission of the unexecuted GIA with FERC or initiate Dispute Resolution procedures pursuant to GIP Section 13.5. If the Interconnection Customer requests termination of the negotiations, but, within one hundred twenty (120) calendar days after issuance of the final Phase II Interconnection Study report, fails to request either the filing of the unexecuted GIA or initiate Dispute Resolution, it shall be deemed to have withdrawn its Interconnection Request. Unless otherwise agreed by the Parties, if the Interconnection Customer has not executed and returned the GIA, requested filing of an unexecuted GIA, or initiated Dispute Resolution procedures pursuant to GIP Section 13.5 within one hundred-twenty (120) calendar days after issuance of the final Phase II Interconnection Study report, it shall be deemed to have withdrawn its Interconnection Request. The CAISO shall provide to the Interconnection Customer a final GIA within ten (10) Business Days after the completion of the negotiation process and receipt of all requested information. |
| Appendix Y  13.7 | IPE | 13.7 Change In CAISO Operational Control If the CAISO no longer has control of the portion of the CAISO Controlled Grid at the Point of Interconnection during the period when an Interconnection Request is pending, the CAISO shall transfer to the applicable former Participating TO or successor entity which has ownership of the Point of Interconnection any amount of the deposit or payment with interest thereon that exceeds the cost that it incurred to evaluate the request for interconnection. Any difference between such net deposit amount and the costs that the former Participating TO or successor entity incurs to evaluate the request for interconnection shall be paid by or refunded to the Interconnection Customer, as appropriate. The CAISO shall coordinate with the applicable former Participating TO or successor entity which has ownership of the Point of Interconnection to complete any Interconnection Study, as appropriate, that the CAISO has begun but has not completed. If the Participating TO has tendered a draft GIA to the Interconnection Customer but the Interconnection Customer has neither executed the GIA nor requested the filing of an unexecuted GIA with FERC, unless otherwise provided, the Interconnection Customer must complete negotiations with the applicable former Participating TO or successor entity which has the ownership of the Point of Interconnection. |
| Appendix Y  Appendix 8 | Restoring inadvertently omitted title | **1.2.2 Special Definitions for this GIP Appendix 8**    In this Appendix 8 to the GIP, the following words and expressions shall have the meanings set opposite them:    "SGIP Serial Study Group" shall mean those Interconnection Customers with valid Interconnection Requests submitted pursuant to Appendix S of the CAISO Tariff prior to December 18, 2010 and who have executed System Impact Study or Facilities Study Agreements that provide for the completion of such studies by December 18, 2010.    "SGIP Transition Cluster" shall mean those Interconnection Customers with valid Interconnection Requests submitted pursuant to Appendix S of the CAISO Tariff prior to December 18 , 2010 and which have not executed System Impact Study or Facilities Study Agreements that provide for the completion of such studies by December 18, 2010.  **2. Transition of Projects in SGIP Serial Study Group**  **2.1** An Interconnection Request deemed to be included in the SGIP Serial Study Group that wishes to be studied as an Energy-Only Deliverability Status Generating Facility shall not be required to conform to the provisions of Appendix Y of the CAISO Tariff. Rather, such Interconnection Requests will continue to be processed per the procedures set forth in Appendix S to the CAISO Tariff, unless they specifically indicate, in writing, within five (5) Business Days from the effective date of this Appendix 8 to the GIP, that they wish to be included in either the SGIP Transition Cluster, studied for Full Capacity Deliverability Status, or, if eligible, studied under the Independent Study Process set forth in Section 4 of Appendix Y. |
| Appendix Y  Appendix 8  Section 3.4 | Insert space | **3.4** At the conclusion of the Phase II Interconnection Study for the CAISO’s first and second Queue Clusters, each Interconnection Customer remaining in the SGIP Transition Cluster shall receive a Phase II Interconnection Study report, which will indicate each Interconnection Customer’s allocated share of costs for Interconnection Facilities and Reliability Network Upgrades. If the Interconnection Customer wishes to continue in the queue, the Interconnection Customer must sign and execute a Small Generator Interconnection Agreement within ninety (90) calendar days of receiving the final report and post the required Interconnection Financial Security as set forth in Section 9.3 of Appendix Y. |
| Appendix BB  Section 5.16 | IPE | **5.16 Suspension.** The Interconnection Customer reserves the right, upon written notice to the Participating TO and the CAISO, to suspend at any time all work associated with the construction and installation of the Participating TO's Interconnection Facilities, Network Upgrades, and/or Distribution Upgrades required under this LGIA, other than Network Upgrades identified in the Phase II Interconnection Study as common to multiple Generating Facilities, with the condition that the Participating TO’s electrical system and the CAISO Controlled Grid shall be left in a safe and reliable condition in accordance with Good Utility Practice and the Participating TO’s safety and reliability criteria and the CAISO’s Applicable Reliability Standards. In such event, the Interconnection Customer shall be responsible for all reasonable and necessary costs which the Participating TO (i) has incurred pursuant to this LGIA prior to the suspension and (ii) incurs in suspending such work, including any costs incurred to perform such work as may be necessary to ensure the safety of persons and property and the integrity of the Participating TO’s electric system during such suspension and, if applicable, any costs incurred in connection with the cancellation or suspension of material, equipment and labor contracts which the Participating TO cannot reasonably avoid; provided, however, that prior to canceling or suspending any such material, equipment or labor contract, the Participating TO shall obtain Interconnection Customer's authorization to do so.  The Participating TO shall invoice the Interconnection Customer for such costs pursuant to Article 12 and shall use due diligence to minimize its costs. In the event Interconnection Customer suspends work required under this LGIA pursuant to this Article 5.16, and has not requested the Participating TO to recommence the work or has not itself recommenced work required under this LGIA on or before the expiration of three (3) years following commencement of such suspension, this LGIA shall be deemed terminated. The three-year period shall begin on the date the suspension is requested, or the date of the written notice to the Participating TO and the CAISO, if no effective date is specified. |
| Appendix BB  Article 30 |  | Appendices to LGIA  Appendix A Interconnection Facilities, Network Upgrades and Distribution Upgrades  Appendix B Milestones  Appendix C Interconnection Details  Appendix D Security Arrangements Details  Appendix E Commercial Operation Date  Appendix F Addresses for Delivery of Notices and Billings  Appendix G [NOT USED]  Appendix H Interconnection Requirements for an Asynchronous Generating Facility |
| Appendix BB  Appendix H | Typo | 5. The requirements of this Section A.i of this Appendix H do not apply to faults that occur between the Asynchronous Generating Facility’s terminals and the high side of the step-up transformer to the high-voltage transmission system. |
| Appendix CC  5.16 | IPE | **5.16 Suspension.** The Interconnection Customer reserves the right, upon written notice to the Participating TO and the CAISO, to suspend at any time all work associated with the construction and installation of the Participating TO's Interconnection Facilities, Network Upgrades, and/or Distribution Upgrades required under this LGIA, other than Network Upgrades identified in the Phase II Interconnection Study as common to multiple Generating Facilities, with the condition that the Participating TO’s electrical system and the CAISO Controlled Grid shall be left in a safe and reliable condition in accordance with Good Utility Practice and the Participating TO’s safety and reliability criteria and the CAISO’s Applicable Reliability Standards. In such event, the Interconnection Customer shall be responsible for all reasonable and necessary costs which the Participating TO (i) has incurred pursuant to this LGIA prior to the suspension and (ii) incurs in suspending such work, including any costs incurred to perform such work as may be necessary to ensure the safety of persons and property and the integrity of the Participating TO’s electric system during such suspension and, if applicable, any costs incurred in connection with the cancellation or suspension of material, equipment and labor contracts which the Participating TO cannot reasonably avoid; provided, however, that prior to canceling or suspending any such material, equipment or labor contract, the Participating TO shall obtain Interconnection Customer's authorization to do so. |
| Appendix CC  Article 30 |  | Appendix A Interconnection Facilities, Network Upgrades and Distribution Upgrades  Appendix B Milestones  Appendix C Interconnection Details  Appendix D Security Arrangements Details  Appendix E Commercial Operation Date  Appendix F Addresses for Delivery of Notices and Billings  Appendix G Interconnection Customer’s Proportional Share of Costs of Network Upgrades for Applicable Project Group  Appendix H Interconnection Requirements for an Asynchronous Generating Facility |
| Appendix DD  TOC | Add Appendix 8, 9, and 10 to Table of Contents | **Appendix 7 Application, Procedures, and Terms and Conditions for Interconnecting a Certified Inverter-Based Small Generating Facility No Larger than 10kW (‘10 kW Inverter Process”)**  **Appendix 8 [Not Used]**  **Appendix 9 Certification Codes and Standards**  **Appendix 10 Certification of Small Generator Equipment Packages** |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**4.1 Criteria for Independent Study Process Eligibility**](#s4p1) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**4.4 System Impact Study**](#s4p4) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**4.5 Facilities Study**](#s4p5) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**5.3 Screens**](#s5p3) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**5.4 Customer Options Meeting**](#s5p4) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**5.5 Supplemental Review**](#s5p5) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [6.7.2 Modifications](#s6p7p2) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**7.4 Reassessment Process**](#s7p4) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**9.2 Annual Full Capacity Deliverability Option**](#s9p2) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**11.2 Interconnection Financial Security-Initial Posting**](#s11p2) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [11.3.1 Second Posting](#s11p3p1) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [**13.1 Tender**](#s13p1) |
| Appendix DD  TOC | Remove references to empty sections in TOC | [15.1.8 Disclosure to FERC, its Staff, or a State](#s15p1p8) |
| Appendix DD Section 3.5.1.1 | This proposed change is to align the ISO tariff with PTO wholesale distribution tariffs (WDATS) that provide that funds forfeited under WDATs are allocated by the ISO in accordance with the ISO tariff. | All non-refundable portions of the Interconnection Study Deposit that exceed the costs the CAISO, Participating TOs, or third parties have incurred on the Interconnection Customer’s behalf shall be treated in accordance with CAISO Tariff Section 37.9.4. In addition, any funds received by the CAISO from a Participating TO, pursuant to a requirement in the Participating TO’s wholesale distribution tariff for funds to be distributed by the CAISO, shall be treated in accordance with CAISO Tariff Section 37.9.4. |
| Appendix DD Section 3.5.2.2 | Internal tariff reference correction | Interconnection Requests deemed invalid under this Section 3.5.2.2 are not subject to Section 3.8. Interconnection Customers with invalid Interconnection Request under this Section 3.5.2.2 may seek relief under Section 15.5 by so notifying the CAISO within two (2) Business Days of the notice of invalidity. |
| Appendix DD Section 3.7 |  | 3.7 Coordination With Affected Systems The CAISO will notify the Affected System Operators that are potentially affected by the Interconnection Customer’s Interconnection Request or Group Study within which the Interconnection Customer’s Interconnection Request will be studied. The CAISO will coordinate the conduct of any studies required to determine the impact of the Interconnection Request on Affected Systems with Affected System Operators, to the extent possible, and, if possible, the CAISO will include those results (if available) in its applicable Interconnection Study within the time frame specified in this GIDAP. The CAISO will include such Affected System Operators in all meetings held with the Interconnection Customer as required by this GIDAP. The Interconnection Customer will cooperate with the CAISO in all matters related to the conduct of studies and the determination of modifications to Affected Systems, including providing consent to CAISO’s identification to Interconnection Customer’s name, Generating Facility project name, and release of information which the Interconnection Customer provided as part of its Interconnection Request to the Affected System, participating in any coordinating activities and communications undertaken by the Affected System or CAISO, signing separate study agreements with Affected System owners and paying for necessary studies. |
| Appendix DD  4.2.1.2 | Consistent with Appendix Y | **4.2.1.2 Requirement Set Number Two: for Requests for Independent Study of Behind-the-Meter Capacity Expansion of Generating Facilities**  This Section 4.2.1.2 applies to an Interconnection Request relating to a behind-the-meter capacity expansion of a Generating Facility. Such an Interconnection Request submitted under the Independent Study Process will satisfy the requirements of Section 4.2.1 if it satisfies all of the following technical and business criteria:  (i) Technical criteria.  \* \* \*  2) The behind-the-meter capacity expansion shall not take place until after the original Generating Facility has achieved Commercial Operation and all Reliability Network Upgrades for the original Generating Facility have been placed in service. An Interconnection Request for behind-the-meter capacity expansion may be submitted prior to the Commercial Operation Date of the original Generating Facility.  3) The expanded capacity for the Generating Facility has been placed under a separate breaker (the expansion breaker) such that the expansion can be metered separately at all times. With the consent of the CAISO and the applicable Participating TO(s), the Interconnection Customer may make the Generating Facilities that will be tied to the expansion breaker a mixture of original and expanded facilities such that the total installed capacity behind the expansion breaker is equal to or greater than the planned amount of behind-the-meter capacity expansion. |
| Appendix DD  4.2.2 | Correction to Section Number | **4.2.2 Short Circuit Test**  If the short circuit contribution from the Generating Facility (existing or proposed) being tested at the transmission facility identified in Section 4.2.1.1(i) is less than 100 amperes, the Generating Facility shall pass the short circuit test. |
| Appendix DD Section 6.1.3 | GIDAP references missing | An Interconnection Request’s inclusion in a Group Study will not relieve the CAISO or Participating TO(s) from meeting the timelines for conducting the Phase I Interconnection Study provided in the GIDAP. Group Studies shall be conducted in such a manner to ensure the efficient implementation of the annual CAISO Transmission Plan in light of the transmission system's capabilities at the time of each study. |
| Appendix DD  Section 6.6 | We now only use single window. | At any time the CAISO determines that it will not meet the required time frame for completing the Phase I Interconnection Study due to the large number of Interconnection Requests in the associated Cluster Application Window, study complexity, or unavailability of subcontractors on a reasonable basis to perform the study in the required time frame, the CAISO shall notify the Interconnection Customers as to the schedule status of the Phase I Interconnection Study and provide an estimated completion date with an explanation of the reasons why additional time is required. |
| Appendix DD  Section 6.7 | Typo | The Interconnection Customer may submit, in writing, additional comments on the final Phase I Interconnection Study report up to (3) Business Days following the Results Meeting. Based on any discussion at the Results Meeting and any comments received, the CAISO (in consultation with the applicable Participating TO(s)) will determine, in accordance with Section 6.8, whether it is necessary to follow the final Phase I Interconnection Study report with a revised study report or an addendum. The CAISO will issue any such revised report or addendum to the Interconnection Customer no later than fifteen (15) Business Days following the Results Meeting. |
| Appendix DD Section 6.7.2.2 | 7.1 refers to deliverability status, not MW capacity – make consistent with Appendix Y | Modifications permitted under this Section shall include specifically: (a) a decrease in the electrical output (MW) of the proposed project; (b) modifying the technical parameters associated with the Generating Facility technology or the Generating Facility step-up transformer impedance characteristics; and (c) modifying the interconnection configuration. |
| Appendix DD Section 6.7.2.2 | IPE | For any modification other than these, the Interconnection Customer must first request that the CAISO evaluate whether such modification is a Material Modification. In response to the Interconnection Customer's request, the CAISO, in coordination with the affected Participating TO(s) and, if applicable, any Affected System Operator, shall evaluate the proposed modifications prior to making them and the CAISO shall inform the Interconnection Customer in writing of whether the modifications would constitute a Material Modification. The CAISO may engage the services of the applicable Participating TO to assess the modification, in which case costs for both the Participating TO and CAISO shall be borne by the party making the request under Section 6.7.2, and such costs shall be included in any CAISO invoice for modification assessment activities. Any change to the Point of Interconnection, except for that specified by the CAISO in an Interconnection Study or otherwise allowed under this Section, shall constitute a Material Modification. The Interconnection Customer may then withdraw the proposed modification or proceed with a new Interconnection Request for such modification. |
| Appendix DD Section 6.7.2.3 | IPE | **6.7.2.3** The Interconnection Customer shall provide the CAISO a $10,000 deposit for the modification assessment at the time the request is submitted. Alternatively, the Interconnection Customer may elect to use existing study funds to the extent that the CAISO is still holding at least $10,000 in study funds that have not already been encumbered. Except as provided below, any modification assessment will be concluded, and a response provided to the Interconnection Customer in writing, within forty-five (45) calendar days from the date the CAISO receives all of the following: the Interconnection Customer’s written notice to modify the project, technical data required to assess the request and payment of the $10,000 deposit. If the modification assessment cannot be completed within that time period, the CAISO shall notify the Interconnection Customer and provide an estimated completion date with an explanation of the reasons why additional time is required. The Interconnection Customer will be responsible for the actual costs incurred by the CAISO and applicable Participating TO(s) in conducting the modification assessment. If the actual costs of the modification assessment are less than the deposit provided by the Interconnection Customer, the Interconnection Customer will be refunded the balance. If the actual costs of the modification assessment are greater than the deposit provided by the Interconnection Customer, the Interconnection Customer shall pay the balance when invoiced. The CAISO shall coordinate the modification request results with the Participating TO(s). |
| Appendix DD  Section 7.2 | Section 14.2.1 is the wrong Tariff Section. Should be 14.3.2 | Option (B), which means that the Interconnection Customer will assume cost responsibility for Delivery Network Upgrades (both ADNUs and LDNUs, to the extent applicable) without cash repayment under Section 14.3.2 to the extent that sufficient TP Deliverability is not allocated to the Generating Facility to provide its requested Deliverability Status. If the Interconnection Customer selects Option (B) then the Interconnection Customer shall be required to make an initial posting of Interconnection Financial Security under Section 11.2 for the cost responsibility assigned to it in the Phase I Interconnection Study for RNUs, LDNUs and ADNUs. There is no maximum value for ADNUs. |
| Appendix DD  Section 7.3 | Add period to end of paragraph | The Phase I Interconnection Study report shall set forth the applicable cost estimates for RNUs, LDNUs, ADNUs and Participating TOs Interconnection Facilities that shall be the basis for the initial Interconnection Financial Security Posting under Section 11.2. |
| Appendix DD  Section 7.4.1 | Clarify retention criteria | **7.4.1**  (c) compliance of earlier queued Interconnection Customers that were allocated TP Deliverability under Section 8.9.3 with the retention criteria; |
| Appendix DD  Section 8.1.1 | Only Section 11.3 refers to the 2nd and 3rd financial postings. | **8.1.1 Purpose of the Phase II Interconnection Study**  The Phase II Interconnection Study report shall set forth the applicable cost estimates for RNUs, LDNUs, ADNUs and Participating TOs Interconnection Facilities that shall be the basis for Interconnection Financial Security Postings under Section 11.3 Where the cost estimations applicable to the total of RNUs and LDNUs are based upon the Phase I Interconnection Study (because the cost estimation for the subtotal of RNUs and LDNUs were lower and so establish maximum cost responsibility under Section 10.1), the Phase II Interconnection Study report shall recite this fact. |
| Appendix DD Section 8.6 | Missing criteria added to Appendix DD to conform with Appendix Y changes previously made Section 7.6 of Appendix Y | **8.6 Accelerated Phase II Interconnection Study Process**  The Phase II Interconnection Study shall be completed within one hundred fifty (150) calendar days following the later of (1) the posting of the initial Interconnection Financial Security or (2) the completion of the re-assessment in preparation for the Phase II Interconnection Study under Section 7.4, where the Interconnection Request meets the following criteria: (i) the Interconnection Request was not grouped with any other Interconnection Requests during the Phase I Interconnection Study or was identified as interconnecting to a point of available transmission during the Phase I Interconnection Study, and (ii) the Interconnection Customer is able to demonstrate that the general Phase II Interconnection Study timeline under LGIP Section 7.5 is not sufficient to accommodate the Commercial Operation Date of the Large Generating Facility.  In addition to the above criteria, the CAISO may apply to FERC in coordination with the Interconnection Customer for a waiver of the timelines in this LGIP to meet the schedule required by an order, ruling, or regulation of the Governor of the State of California, the CPUC, or the CEC. |
| Appendix DD 8.9.1 | Correction to Section Number | **8.9.1 First Component: Representing TP Deliverability Used by Prior Commitments**  (ii) proposed Generating Facilities in Queue Cluster 5 and subsequent Queue Clusters that were previously allocated TP Deliverability and have met the criteria to retain the allocation set forth in Section 8.9.3. |
| Appendix DD 8.9.2 | Correction to Section Number & Clarification | **8.9.2 Second Component: Allocating TP Deliverability To The Current Queue Cluster**  The ISO shall allocate available TP Deliverability to Generating Facilities according to the Interconnection Customers’ demonstration of having met the criteria listed below for all or a portion of the full MW generating capacity of the Generating Facility as specified in the Interconnection Request. Where a criterion is met by a portion of the full MW generating capacity of the Generating Facility, the eligibility score associated with that criterion shall apply to the portion that meets the criterion. The demonstration must relate to the same proposed Generating Facility as described in Appendix A to the Interconnection Request. The Generating Facility shall be assigned a numerical score reflecting the Interconnection Customer’s demonstration of having met the criteria below under the methodology set forth in the Business Practice Manual. At a minimum, the Generating Facility must meet (1)d and either (2)a or (2)d. |
| Appendix DD  Section 8.9.4 | Incorrect section reference | **8.9.4 Parking for Option (A) Generating Facilities**  (3) Park the Interconnection Request; in which case the Interconnection Request may remain in the Interconnection queue until the next allocation of TP Deliverability in which it may participate in accordance with the requirements of Section 8.9.2. Parking an Interconnection Request does not confer a preference with respect to any other Interconnection Request with respect to allocation of TP Deliverability. |
| Appendix DD  Section 9.2.1 | Clarification and addition of missing semicolon | **9.2.1** Generating Facilities eligible for Deliverability under this Section are  (i) a Generating Facility previously studied as Energy-Only Deliverability Status in any prior Interconnection Study Cycle under the CAISO Tariff (including a Small Generating Facility studied under the provisions of Appendix S of the CAISO Tariff) or which has GIA under which the Generating Facility is Energy Only Deliverability Status and such GIA is in good standing at the time of request under this Section;  (ii) an Option (A) Generating Facility not allocated TP Deliverability in any prior Interconnection Study Cycle that converted to Energy-Only Deliverability Status and has a GIA in good standing and desires to seek additional Deliverability with respect to the Energy Only portion of the Generating Facility; |
| Appendix DD  Section 11.2.3.2 | In 3rd paragraph, should clarify that this also applies to ICs switching from Partial Capacity Deliverability Status to Energy-Only | **11.2.3.2 Large Generator Interconnection Customers**  In addition, if an Interconnection Customer switches its status from Full Capacity Deliverability Status or Partial Capacity Deliverability Status to Energy-Only Deliverability Status within ten (10) Business Days following the Phase I Interconnection Study Results Meeting, the required Interconnection Financial Security for Network Upgrades shall, for purposes of this section, be additionally capped at an amount no greater than the total cost responsibility assigned to the Interconnection Customer in the Phase I Interconnection Study for Reliability Network Upgrades. |
| Appendix DD  Section 11.2.4.1 | No reference to “lesser of” | **11.2.4.1** **Small Generator Interconnection Customers**  Each Interconnection Customer for a Small Generating Facility assigned to a Queue Cluster and each Interconnection Customer for a Small Generating Facility in the Independent Study Process shall post an Interconnection Financial Security instrument in an amount equal to the lesser of (i) fifteen (15) percent of the total cost responsibility assigned to the Interconnection Customer in the final Phase I Interconnection Study or System Impact Study for Participating TO’s Interconnection Facilities or (ii) $20,000 per megawatt of electrical output of the Small Generating Facility or the amount of megawatt increase in the generating capacity of each existing Generating Facility as listed by the Interconnection Customer in its Interconnection Request, including any requested modifications thereto, but in no event less than $50,000. |
| Appendix DD  Section 11.2.5 | Incorrect cross-references | **11.2.5** **Cost Estimates Less than Minimum Posting Amounts**.  If the costs of either the estimated Network Upgrades or the Participating TO Interconnection Facilities are less than the minimum posting amounts that would apply under Sections 11.2.4.1 or 11.2.4.2, then the posting amount required will be equal to the estimated Network Upgrades amount or the Participating TO Interconnection Facilities amount. |
| Appendix DD  Section 11.3.1.4.3 | Refer to section 11.3.1.4, since this should include both small and large generators, not just large. | **11.3.1.4.3 Cost Estimates Less than Minimum Posting Amounts**.  If the costs of the estimated Network Upgrades are less than the posting amounts set forth in Section 11.3.1.4 above, then posting amount required will be equal to the estimated Network Upgrade amount. |
| Appendix DD  Section 11.3.1.6 | Sections 10.3.2 & 10.3.1 do not exist. | **11.3.1.6** **Early Commencement of Construction Activities**  If the start date for Construction Activities of Network Upgrades or Participating TO’s Interconnection Facilities on behalf of the Interconnection Customer is prior to one hundred eighty (180) calendar days after issuance of the final Phase II Interconnection Study report for Interconnection Customers in a Queue Cluster or prior to one hundred twenty (120) calendar days after issuance of the final Facilities Study report for Interconnection Customers in the Independent Study Process, that start date must be set forth in the Interconnection Customer’s GIA, and the Interconnection Customer shall make its second posting of Interconnection Financial Security pursuant to Section 11.3.2 rather than Section 11.3.1. |
| Appendix DD  Section 11.3.2.1 | Section 11.3.1.3.1 & Sections 11.3.1.3.2 are wrong Tariff Sections | **11.3.2.1 Network Upgrades**  With respect to the Interconnection Financial Security Instrument for Network Upgrades, the Interconnection Customer shall modify this Instrument so that it equals one hundred (100) percent of the total cost responsibility assigned to the Interconnection Customer for RNUs, LDNUs and ADNUs as determined in Section 11.3.1.4.1 for Small Generator Interconnection Customers or in Section 11.3.1.4.2 for Large Generator Interconnection Customers. |
| Appendix DD  Section 11.4.2.1 | Remove extra bullet | **11.4.2.1 Withdrawal Between the First Posting and the Deadline for the Second Posting**    b. the Interconnection Financial Security plus (any other provided security plus any separately provided capital) minus the lesser of fifty (50) percent of the value of the posted Interconnection Financial Security for Network Upgrades or $10,000 per requested and approved megawatt of the Generating Facility Capacity at the time of withdrawal. |
| Appendix DD  Section 11.4.2.5 | This proposed change is to align the ISO tariff with PTO wholesale distribution tariffs (WDATS) that provide that funds forfeited under WDATs are allocated by the ISO in accordance with the ISO tariff. | **11.4.2.5 Notification to CAISO and Accounting by Applicable Participating TO(s).**    All non-refundable portions of the Interconnection Financial Security remitted to the CAISO in accordance with this Section shall be treated in accordance with CAISO Tariff Section 37.9.4. In addition, any funds received by the CAISO from a Participating TO, pursuant to a requirement in the Participating TO’s wholesale distribution tariff for funds to be distributed by the CAISO, shall be treated in accordance with CAISO Tariff Section 37.9.4. |
| Appendix DD  Section 12 | Missing GIDAP Reference | **Section 12 Engineering & Procurement ("E&P") Agreement**  Prior to executing a GIA, an Interconnection Customer may, in order to advance the implementation of its interconnection, request and the applicable Participating TO(s) shall offer the Interconnection Customer, an E&P Agreement that authorizes the applicable Participating TO(s) to begin engineering and procurement of long lead-time items necessary for the establishment of the interconnection. However, the applicable Participating TO(s) shall not be obligated to offer an E&P Agreement if the Interconnection Customer is in Dispute Resolution as a result of an allegation that the Interconnection Customer has failed to meet any milestones or comply with any prerequisites specified in other parts of the GIDAP. The E&P Agreement is an optional procedure. The E&P Agreement shall provide for the Interconnection Customer to pay the cost of all activities authorized by the Interconnection Customer and to make advance payments or provide other satisfactory security for such costs. |
| Appendix DD  Section 13.1.1 | IPE | **13.1.1** If the Interconnection Customer requested Full Capacity Deliverability Status or Partial Deliverability Status, then wWithin thirty (30) Calendar Days after the CAISO provides the final updated Phase II Interconnection Study report, or the Facilities Study report (or System Impact Study report if the Facilities Study is waived) which includes the allocation of TP Deliverability to the Interconnection Customer, the applicable Participating TO(s) and the CAISO shall tender a draft GIA, together with draft appendices. If the Interconnection Customer requested Energy-Only Deliverability Status, then within thirty (30) Calendar Days following the results meeting for the final Phase II Interconnection Study, Facilities Study, or System Impact Study if the Facilities Study is waived, the applicable Participating TO shall tender a draft GIA, together with draft appendices. The draft GIA shall be in the form of the FERC-approved form of GIA set forth in CAISO Tariff Appendix T EE or Appendix CCFF, as applicable. The Interconnection Customer shall provide written comments, or notification of no comments, to the draft appendices to the applicable Participating TO(s) and the CAISO within (30) calendar days of receipt. |
| Appendix DD  Section 13.1.2 | Incorrect use of cross-reference | **13.1.2** Consistent with Section 13.1.1, when the transmission system of a Participating TO, in which the Point of Interconnection is not located, is affected, such Participating TO shall tender a separate agreement, in the form of the GIA, as appropriately modified. |
| Appendix DD  Section 13.2 | IPE | **13.2 Negotiation**  Notwithstanding Section 13.1, at the request of the Interconnection Customer, the applicable Participating TO(s) and CAISO shall begin negotiations with the Interconnection Customer concerning the appendices to the GIA at any time after the CAISO provides the Interconnection Customer with the final Phase II Interconnection Study report. The applicable Participating TO(s) and CAISO and the Interconnection Customer shall negotiate concerning any disputed provisions of the appendices to the draft GIA for not more than one hundred twenty (120) calendar days after the CAISO provides the Interconnection Customer with the final Phase II Interconnection Study report, or the Facilities Study report (or System Impact Study report if the Facilities Study is waived). If the Interconnection Customer determines that negotiations are at an impasse, it may request termination of the negotiations at any time after tender of the draft GIA pursuant to Section 13.1 and request submission of the unexecuted GIA with FERC or initiate Dispute Resolution procedures pursuant to Section 15.5. If the Interconnection Customer requests termination of the negotiations, but, within one hundred twenty (120) calendar days after issuance of the final Phase II Interconnection Study report, fails to request either the filing of the unexecuted GIA or initiate Dispute Resolution, it shall be deemed to have withdrawn its Interconnection Request. Unless otherwise agreed by the Parties, if the Interconnection Customer has not executed and returned the GIA, requested filing of an unexecuted GIA, or initiated Dispute Resolution procedures pursuant to Section 15.5 within one hundred twenty (120) calendar days after issuance of the final Phase II Interconnection Study report, it shall be deemed to have withdrawn its Interconnection Request. The CAISO shall provide to the Interconnection Customer a final GIA within ten (10) Business Days after the completion of the negotiation process and receipt of all requested information. |
| Appendix DD  Section 15.1.1 | The word GIDAP is missing | **15.1.1 Scope**    Confidential Information shall not include information that the receiving Party can demonstrate: (1) is generally available to the public other than as a result of a disclosure by the receiving Party; (2) was in the lawful possession of the receiving Party on a non-confidential basis before receiving it from the disclosing Party; (3) was supplied to the receiving Party without restriction by a third party, who, to the knowledge of the receiving Party after due inquiry, was under no obligation to the disclosing Party to keep such information confidential; (4) was independently developed by the receiving Party without reference to Confidential Information of the disclosing Party; (5) is, or becomes, publicly known, through no wrongful act or omission of the receiving Party or breach of the GIA; or (6) is required, in accordance with Section 15.1.6, Order of Disclosure, to be disclosed by any Governmental Authority or is otherwise required to be disclosed by law or subpoena, or is necessary in any legal proceeding establishing rights and obligations under the GIDAP. Information designated as Confidential Information will no longer be deemed confidential if the Party that designated the information as confidential notifies the other Parties that it no longer is confidential. |
| Appendix DD  Section 15.7 | IPE | **15.7 Change In CAISO Operational Control**  If the CAISO no longer has control of the portion of the CAISO Controlled Grid at the Point of Interconnection during the period when an Interconnection Request is pending, the CAISO shall transfer to the applicable former Participating TO or successor entity which has ownership of the Point of Interconnection any amount of the deposit or payment with interest thereon that exceeds the cost that it incurred to evaluate the request for interconnection. Any difference between such net deposit amount and the costs that the former Participating TO or successor entity incurs to evaluate the request for interconnection shall be paid by or refunded to the Interconnection Customer, as appropriate. The CAISO shall coordinate with the applicable former Participating TO or successor entity which has ownership of the Point of Interconnection to complete any Interconnection Study, as appropriate, that the CAISO has begun but has not completed. If the Participating TO has tendered a draft GIA to the Interconnection Customer but the Interconnection Customer has neither executed the GIA nor requested the filing of an unexecuted GIA with FERC, unless otherwise provided, the Interconnection Customer must complete negotiations with the applicable former Participating TO or successor entity which has the ownership of the Point of Interconnection. |
| Appendix DD  Appendix 1 | ICs need to only provide one completed form of IR | Provide one copy of this completed form pursuant to Section 7 of this Appendix 1 below. |
| Appendix DD  Appendix 1  Section 4c. | Clarification | c. Type of project (i.e., gas turbine, hydro, wind, etc.) and general description of the equipment configuration (if more than one type is chosen include nameplate MW for each);  Technology Nameplate  \_\_\_ Cogeneration \_\_\_\_ (MW)  \_\_\_ Reciprocating Engine \_\_\_\_ (MW)  \_\_\_ Biomass \_\_\_\_ (MW)  \_\_\_ Steam Turbine \_\_\_\_ (MW)  \_\_\_ Gas Turbine \_\_\_\_ (MW)  \_\_\_ Wind \_\_\_\_ (MW)  \_\_\_ Hydro \_\_\_\_ (MW)  \_\_\_ Photovoltaic \_\_\_\_ (MW)  \_\_\_ Combined Cycle \_\_\_\_ (MW)    \_\_\_Other (please describe): |
| Appendix DD  Appendix 1 Paragraph 4d | Change date format to (Month, day, year) | d. Proposed In-Service Date (first date transmission is needed to the facility), Trial Operation date and Commercial Operation Date by month, day, and year and term of service (dates must be sequential); \_\_\_\_\_\_\_\_\_ |
| Appendix DD  Appendix 1  Paragraph 6 | The word GIDAP is missing | 6. Evidence of Site Exclusivity as specified in the GIDAP and name(s), address(es) and contact information of site owner(s) (check one):    \_\_\_\_ Is attached to this Interconnection Request  \_\_\_\_ Deposit in lieu of Site Exclusivity attached, Site Exclusivity will be provided at a later date in accordance with this GIDAP |
| Appendix DD  Appendix 1  Attachment A  Section 1 |  | 1. **Provide one set of original prints (no larger than 11" x 17") or soft copy on cd/flashdrive of the following:** |
| Appendix DD  Appendix 1  Attachment A  Section 12 | WECC has approved generic dynamic models for inverter based wind and solar PV plants. These models are available in the GE PSLF dynamic model library. The user written models should be replaced by the standardized library models. | **12. Load Flow and Dynamic Models:**  For each generator, governor, exciter and power system stabilizer, select the appropriate dynamic model from the General Electric PSLF Program Manual and provide the required input data. For inverter based generating facilities, select the appropriate generator and control models from the General Electric PSLF Program Manual and provide the required input data. **Provide a completed \*.dyd file that contains the information specified in this section. One copy of this data should be provided on DVD, CD, or USB flash drive media.** |
| Appendix DD  Appendix 3  Section 1.0 | Missing GIDAP Reference | 1.0 When used in this Agreement, with initial capitalization, the terms specified shall have the meanings indicated in the CAISO’s FERC-approved Generation Interconnection Procedures in CAISO Tariff Appendix DD “GIDAP” or the Master Definitions Supplement, Appendix A to the CAISO Tariff, as applicable. |
| Appendix DD  Appendix 3  Section 4.0 | Missing GIDAP Reference | 4.0 The Interconnection Studies will be based upon the technical information provided by the Interconnection Customer in the Interconnection Request, as may be modified as the result of the Scoping Meeting, subject to any modifications in accordance with Section 6.7.1 of the and modifications to the proposed Commercial Operation Date of the Generating Facility permitted by the GIDAP. The CAISO reserves the right to request additional technical information from the Interconnection Customer as may reasonably become necessary consistent with Good Utility Practice during the course of the Interconnection Studies. If the Interconnection Customer modifies its designated Point of Interconnection, Interconnection Request, or the technical information provided therein is modified, the Interconnection Studies may be modified as specified in the GIDAP. |
| Appendix DD  Appendix 3  Section 13.5 | Missing GIDAP Reference | 13.5 Rules of Interpretation. This Agreement, unless a clear contrary intention appears, shall be construed and interpreted as follows: (1) the singular number includes the plural number and vice versa; (2) reference to any person includes such person’s successors and assigns but, in the case of a Party, only if such successors and assigns are permitted by this Agreement, and reference to a person in a particular capacity excludes such person in any other capacity or individually; (3) reference to any agreement (including this Agreement), document, instrument or tariff means such agreement, document, instrument, or tariff as amended or modified and in effect from time to time in accordance with the terms thereof and, if applicable, the terms hereof; (4) reference to any applicable laws and regulations means such applicable laws and regulations as amended, modified, codified, or reenacted, in whole or in part, and in effect from time to time, including, if applicable, rules and regulations promulgated thereunder; (5) unless expressly stated otherwise, reference to any Article, Section or Appendix means such Article or Section of this Agreement or such Appendix to this Agreement, or such Section of the GIDAP or such Appendix to the GIDAP, as the case may be; (6) "hereunder", "hereof", "herein", "hereto" and words of similar import shall be deemed references to this Agreement as a whole and not to any particular Article, Section, or other provision hereof or thereof; (7) "including" (and with correlative meaning "include") means including without limiting the generality of any description preceding such term; and (8) relative to the determination of any period of time, "from" means "from and including", "to" means "to but excluding" and "through" means "through and including". |
| Appendix DD  Appendix 3  Appendix A | Missing space | The Phase I Interconnection Study will be based upon the information set forth in the Interconnection Request and agreed upon in the Scoping Meeting held on , subject to any modifications in accordance with Section 6.2 of the GIDAP, and the following assumptions: |
| Appendix DD Appendix DD Appendix 3  Appendix B |  | Level of Deliverability: Choose one of the following:    \_\_\_\_\_\_\_Energy Only    \_\_\_\_\_\_\_\_Full Capacity  \_\_\_\_\_\_\_\_ Partial Capacity for \_\_\_\_\_\_\_\_\_\_\_ MWs |
| Appendix DD  Appendix 4  Section 3.8 | Missing GIDAP Reference | **3.8** Use of Contractors: Nothing in this Agreement shall prevent either the CAISO or the PTO from using qualified, mutually agreed upon third party contractors to meet that Party's rights or obligations under this Agreement or the GIDAP. To promote the efficiency of the process, the CAISO and PTO will collaborate to identify a list of the mutually agreed to qualified contractors available to the Parties. |
| Appendix DD  Appendix 6  Section 4.0 | Missing GIDAP Reference | 4.0 The Interconnection Studies will be based upon the technical information provided by the Interconnection Customer in the Interconnection Request, as may be modified as the result of the Scoping Meeting, subject to any modifications in accordance with Section 6.1.2 of the GIDAP and modifications to the proposed Commercial Operation Date of the Generating Facility permitted by the GIDAP. The CAISO reserves the right to request additional technical information from the Interconnection Customer as may reasonably become necessary consistent with Good Utility Practice during the course of the Interconnection Studies. If the Interconnection Customer modifies its designated Point of Interconnection, Interconnection Request, or the technical information provided therein is modified, the Interconnection Studies may be modified as specified in the GIDAP. |
| Appendix FF  Section 3.4.5 | IPE | 3.4.5 Modification of the Small Generating Facility Prior to making any modifications to the Small Generating Facility, the Interconnection Customer must first request that the CAISO evaluate whether such modification is a Material Modification and receive written authorization from the Participating TO and the CAISO. Such authorization shall not be unreasonably withheld. Modifications shall be done in accordance with Good Utility Practice. The CAISO may engage the services of the applicable Participating TO to assess the modification, in which case such costs shall be borne by the party making the request under Section 6.7.2 of Appendix DD, and such costs shall be included in any CAISO invoice for modification assessment activities. If the Interconnection Customer makes such modification without the Participating TO's and the CAISO’s prior written authorization, the Participating TO or the CAISO shall have the right to temporarily disconnect the Small Generating Facility. Any change to the Point of Interconnection, except those deemed acceptable under this article of the GIDAP SGIA or so allowed elsewhere, shall constitute a Material Modification. The Interconnection Customer may then withdraw the proposed modification or proceed with a new Interconnection Request for such modification. |