



CALIFORNIA ISO

California Independent  
System Operator

# Briefing on Resource Adequacy Reporting and Compliance

Market Surveillance Committee  
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## Status

- Workshops on reporting and compliance were held on January 12 and 13, 2005
- Details regarding specific obligations still under development
- ISO coordinated with IEP to offer draft principles
- ISO will continue to participate in defining requirements and verification process



## Compliance Principles

- Obligations must be clearly and completely specified for each time horizon (Year-ahead, month-ahead and day-ahead)
- Information provided should be sufficient to allow objective verification of compliance with all obligations
- Financial penalties based on objective information are a necessary backstop
- Each LSE must provide timely and complete filings, with any criteria for any waivers or extensions established in advance



## Reporting Requirements

- Documentation supporting each filing must be specified and available for audit
- Year-ahead filing will focus on five summer months
- Requirements for associating contract capacity with physical resources must be spelled out
- October 28 order requires that LSEs “acquire a mix of resources capable of satisfying the number of hours for each month that their loads are within 10% of their maximum contribution to monthly system peak”



## Issues Requiring Further Development

- Need to develop comprehensive guidelines and templates for reporting, and reduce verification to a checklist, if possible
- Specify the process for establishing the requirement and verifying compliance for the seven non-summer months
- Define the obligation to make dedicated resources available for ISO commitment and dispatch