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 - 16.1.4 Conversion Of PTOs' Rights Under Existing Contracts
- 16.2 [Not Used]
- 16.3 [Not Used]
- 16.4 TRTC Instructions
 - 16.4.1 Responsibility To Create TRTC Instructions
 - 16.4.2 Responsible PTO Re Multiple PTO Parties To Existing Contract
 - 16.4.3 Scheduling Coordinator Responsibilities
 - 16.4.4 Submission Of TRTC Instructions
 - 16.4.5 TRTC Instructions Content
 - 16.4.6 Changes And Updates To TRTC Instructions
 - 16.4.7 Treatment Of TRTC Instructions
 - 16.4.8 CAISO Role In Existing Contracts
 - 16.4.9 Implementation Of TRTC Instructions
- 16.5 Treatment Of Existing Contracts For Transmission Service
 - 16.5.1 System Emergency Exceptions
- 16.6 Valid ETC Self-Schedules
 - 16.6.1 Validation Of ETC Self-Schedules
 - 16.6.2 Treatment Of Invalid ETC Self-Schedules
 - 16.6.3 Treatment Of Valid ETC Self-Schedules
 - 16.6.4 Notification To SCs Of CAISO Determination
- 16.7 [Not Used]
- 16.8 [Not Used]
- 16.9 The HASP
 - 16.9.1 Scheduling Deadlines
- 16.10 The CAISO'S Real-Time Process
- 16.11 Inter-Balancing Authority Area ETC Self-Schedule Bid Changes
- 16.12 Intra-Balancing Authority Area ETC Self-Schedule Changes

17. Transmission Ownership Rights (TORs)

- 17.1 TRTC Instructions
 - 17.1.1 Responsibility To Create TRTC Instructions
 - 17.1.2 TOR Scheduling Coordinator Responsibilities
 - 17.1.3 Submission Of TRTC Instructions
 - 17.1.4 TRTC Instructions Content
 - 17.1.5 Changes And Updates To TRTC Instructions
 - 17.1.6 CAISO Role In Accepting TRTC Instructions
 - 17.1.7 Implementation Of TRTC Instructions
- 17.2 Treatment Of TORs
 - 17.2.1 System Emergency Exceptions
- 17.3 Valid TOR Self-Schedules
 - 17.3.1 Validation Of TOR Self-Schedules
 - 17.3.2 Treatment Of Invalid TOR Self-Schedules
 - 17.3.3 Settlement Treatment Of Valid TOR Self-Schedules
 - 17.3.4 Notification To SCs Of CAISO Determination
- 17.4 The HASP
 - 17.4.1 Scheduling Deadlines

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- 17.5 The CAISO'S Real-Time Process
- 17.6 Inter-Balancing Authority Area TOR Self-Schedule Bid Changes
- 17.7 Intra-Balancing Authority Area TOR Self-Schedule Changes
- 17.8 Existing Contracts Re TORs For Non-Participating TOs
 - 17.8.1 Participating TO Obligation
 - 17.8.2 Right To Use And Ownership Of TORs
 - 17.8.3 Dispute Resolution For Existing Contracts Applicable To TORs
- 17.9 Conversion Of PTOs' Rights Under Existing Contracts Re TORs
- 17.10 TOR Operational Obligations

18. [Not Used]

19. [Not Used]

- 19.1 [Not Used]
 - 19.1.1 [Not Used]
 - 19.1.2 [Not Used]
 - 19.1.3 [Not Used]
- 19.2 [Not Used]

20. Confidentiality

- 20.1 CAISO
- 20.2 Confidential Information
- 20.3 Other Parties
- 20.4 Disclosure
- 20.5 Confidentiality

21. [Not Used]

22. Miscellaneous

- 22.1 Audits
 - 22.1.1 Materials Subject To Audit
 - 22.1.2 CAISO Audit Committee
 - 22.1.3 Audit Results
 - 22.1.4 Availability Of Records
 - 22.1.5 Confidentiality Of Information
 - 22.1.6 Payments
- 22.2 Assignment
- 22.3 Term And Termination
 - 22.3.1 Effective Date Of CAISO Tariff
 - 22.3.2 Termination Of CAISO Tariff With Board And FERC Approval
- 22.4 Notice
 - 22.4.1 Effectiveness
 - 22.4.2 Addresses
 - 22.4.3 Notice Of Changes In Operating Procedures And BPMs
- 22.5 Waiver
- 22.6 Staffing And Training To Meet Obligations
- 22.7 Accounts And Reports
- 22.8 Applicable Law And Forum
- 22.9 Consistency With Federal Laws And Regulations
- 22.10 Administrative Fees
- 22.11 Operating Procedures And BPM Development And Amendment
 - 22.11.1 Process For Revisions Of Business Practice Manuals
 - 22.11.2 Changes To BPM For BPM Change Management
 - 22.11.3 Requests For And Access To Nonpublic Operating Procedures
- 22.12 [Not Used]

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22.13 Scheduling Responsibilities And Obligations

23. Categories Of Transmission Capacity

24. Comprehensive Transmission Planning Process

24.1 Overview

24.1.1 [Not Used]

24.1.2 [Not Used]

24.1.3 [Not Used]

24.1.4 [Not Used]

24.2 Nature of the Transmission Planning Process

24.2.1 [Not Used]

24.2.2 [Not Used]

24.2.3 [Not Used]

24.2.4 [Not Used]

24.3 Transmission Planning Process Phase 1

24.3.1 Inputs to the Unified Planning Assumptions and Study Plan

24.3.2 Contents of the Unified Planning Assumptions and Study Plan

24.3.3 Stakeholder Input - Unified Planning Assumptions/Study Plan

24.3.4 Economic Planning Studies

24.3.5 [Not Used]

24.4 Transmission Planning Process Phase 2

24.4.1 Conducting Technical Studies

24.4.2 Proposed Reliability Driven Transmission Solutions

24.4.3 Phase 2 Request Window

24.4.4 Comment Period of Conceptual Statewide Plan

24.4.5 Determination of Needed Transmission Solutions

24.4.6 Categories of Transmission Solutions

24.4.7 Description of Transmission Solutions

24.4.8 Additional Contents of Comprehensive Transmission Plan

24.4.9 Phase 2 Stakeholder Process

24.4.10 Transmission Plan Approval Process

24.5 Transmission Planning Process Phase 3

24.5.1 Competitive Solicitation Process

24.5.2 Project Sponsor Application and Information Requirements

24.5.3 Project Sponsor and Proposal Qualification

24.5.4 Project Sponsor Selection Factors and Comparative Analysis

24.5.5 Notice to Project Sponsors

24.5.6 Competitive Solicitation Project Proposal Fee

24.6 Obligation to Construct Transmission Solutions

24.6.1 Approved Project Sponsor Reporting Requirements

24.6.2 Delay in the Transmission Solution In-Service Date

24.6.3 Development and Submittal of Mitigation Plans

24.6.4 Inability to Complete the Transmission Solution

24.7 Documentation of Compliance with NERC Reliability Standards

24.8 Additional Planning Information

24.8.1 Information Provided by Participating TOs

24.8.2 Information Provided by Participating Generators

24.8.3 Information Requested from Load Serving Entities

24.8.4 Information from BAAs and Regulators

24.8.5 Obligation to Provide Updated Information

24.9 Participating TO Study Obligation

24.10 Operational Review and Impact Analysis

24.10.1 [Not Used]

24.10.2 [Not Used]

24.10.3 [Not Used]

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- 24.10.4 [Not Used]
 - 24.11 [Not Used]
 - 24.11.1 [Not Used]
 - 24.11.2 [Not Used]
 - 24.11.3 [Not Used]
 - 24.12 WECC and Interregional Coordination
 - 24.13 Interregional Transmission Proposals in the Regional Process
 - 24.13.1 [Not Used]
 - 24.13.2 [Not Used]
 - 24.14 Cost Responsibility for Transmission Additions or Upgrades
 - 24.14.1 Project Sponsor Commitment to Pay Full Cost
 - 24.14.2 Cost of Needed Addition or Upgrade to be Borne by PTO
 - 24.14.3 CRR Entitlement for Project Sponsors Not Recovering Costs
 - 24.14.4 RAC Treatment of New Regional Transmission Facilities Costs
 - 24.15 Ownership of and Charges for Expansion Facilities
 - 24.15.1 Transmission Additions and Upgrades Under TCA
 - 24.15.2 Access and Charges for Transmission Additions and Upgrades
 - 24.16 Expansion by Local Furnishing Participating TOs
 - 24.17 Evaluation of Interregional Transmission Products
 - 24.17.1 Submission of Interregional Transmission Projects
 - 24.17.2 Interregional Transmission Project Assessment
 - 24.17.3 Selection in the Comprehensive Transmission Plan
 - 24.17.4 Interregional Transmission Project Cost Recovery
 - 24.17.5 Monitoring the Status of Interregional Transmission Projects
 - 24.17.6 Delay in Interregional Transmission Project In Service Date
 - 24.18 Order 1000 Common Interregional Tariff
 - 24.18.1 Annual Interregional Information Exchange
 - 24.18.2 Annual Interregional Coordination Meeting
 - 24.18.3 Interregional Transmission Project Joint Evaluation Process
 - 24.18.4 Interregional Cost Allocation Process
 - 24.18.5 Application of Regional Cost Allocation Methodology
- 25. Interconnection Of Generating Units And Facilities**
- 25.1 Applicability
 - 25.1.1 Interconnection Request And Generating Unit Requirements
 - 25.1.2 Affidavit Requirement
 - 25.2 Interconnections To The Distribution System
 - 25.3 Maintenance Of Encumbrances
 - 25.4 Asynchronous Generating Facilities
 - 25.5 Modifications to Generating Facilities
- 26. Transmission Rates And Charges**
- 26.1 Access Charges
 - 26.1.1 Publicly Owned Electric Utilities Access Charge
 - 26.1.2 Regional Access Charge Settlement
 - 26.1.3 Disbursement Of RAC Revenues
 - 26.1.4 Wheeling
 - 26.1.5 Unbundled Retail Transmission Rates
 - 26.2 [Not Used]
 - 26.3 Addition Of New Facilities After CAISO Implementation
 - 26.4 Effect On Tax-Exempt Status
 - 26.5 [Not Used]
 - 26.6 Location Constrained Resource Interconnection Facilities
 - 26.6.1 LCRIFs That Become Network Facilities

27. CAISO Markets And Processes

- 27.1 LMPs And Ancillary Services Marginal Prices
 - 27.1.1 Locational Marginal Prices For Energy
 - 27.1.2 Ancillary Service Prices
 - 27.1.3 Regulation Mileage Clearing Price
- 27.2 Load Aggregation Points (LAP)
 - 27.2.1 Metered Subsystems
 - 27.2.2 Determination Of LAP Prices
- 27.3 Trading Hubs
- 27.4 Optimization In The CAISO Markets Processes
 - 27.4.1 Security Constrained Unit Commitment
 - 27.4.2 Security Constrained Economic Dispatch
 - 27.4.3 CAISO Markets Scheduling And Pricing Parameters
- 27.5 Full Network Model
 - 27.5.1 Network Models used in CAISO Markets
 - 27.5.2 Metered Subsystems
 - 27.5.3 Integrated Balancing Authority Areas
 - 27.5.4 Accounting For Changes In Topology In FNM
 - 27.5.5 Load Distribution Factors
 - 27.5.6 Management and Enforcement of Constraints in the CAISO Markets
- 27.6 State Estimator
- 27.7 Constrained Output Generators
 - 27.7.1 Election Of Constrained Output Generator Status
 - 27.7.2 Election To Waive COG Status
 - 27.7.3 Constrained Output Generators In The IFM
 - 27.7.4 Constrained Output Generators In RUC
 - 27.7.5 Constrained Output Generators In The Real-Time Market
- 27.8 Multi-Stage Generating Resources
 - 27.8.1 Registration and Qualification
 - 27.8.2 Informational Requirements
 - 27.8.3 Changes in Status and Configurations of Resource
- 27.9 Non-Generator Resources MWh Constraints
- 27.10 Flexible Ramping Constraint
- 27.11 Natural Gas Constraint

28. Inter-SC Trades

- 28.1 Inter-SC Trades Of Energy
 - 28.1.1 Purpose
 - 28.1.2 Availability Of Inter-SC Trades Of Energy
 - 28.1.3 Submission Of Inter-SC Trades Of Energy
 - 28.1.4 Information Requirements
 - 28.1.5 General Validation Rules For Inter-SC Trades
 - 28.1.6 Validation Procedures For Physical Trades
- 28.2 Inter-SC Trades Of Ancillary Services
 - 28.2.1 Information Requirements
 - 28.2.2 Validation
 - 28.2.3 Submission Of Inter-SC Trades Of Ancillary Services
- 28.3 Inter-SC Trades Of IFM Load Uplift Obligation
 - 28.3.1 Information Requirements
 - 28.3.2 Validation
 - 28.3.3 Submission Of Inter-SC Trades Of IFM Load Uplift Obligation

29 Energy Imbalance Market

- 29.1 General Provisions.
- 29.2 EIM Entity Access To The Real-Time Market.
- 29.3 [Not Used]

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- 29.4 Roles And Responsibilities.
- 29.5 [Not Used]
- 29.6 Communications.
- 29.7 EIM Operations Under Normal And Emergency Conditions.
- 29.8 [Not Used]
- 29.9 Outages and Critical Contingencies.
- 29.10 Metering and Settlement Data.
- 29.11 Settlements And Billing For EIM Market Participants.
- 29.12 Creditworthiness.
- 29.13 Dispute Resolution.
- 29.14 Uncontrollable Forces, Indemnity, Liabilities, and Penalties.
- 29.15 [Not Used]
- 29.16 [Not Used]
- 29.17 EIM Transmission System.
- 29.18 [Not Used]
- 29.19 [Not Used]
- 29.20 Confidentiality.
- 29.21 [Not Used]
- 29.22 Miscellaneous Provisions in Addition to Section 22.
- 29.23 [Not Used]
- 29.24 [Not Used]
- 29.25 [Not Used]
- 29.26 Transmission Rates And Charges.
- 29.27 CAISO Markets And Processes.
- 29.28 Inter-SC Trades.
- 29.29 [Not Used]
- 29.30 Bid and Self-Schedule Submission For CAISO Markets.
- 29.31 Day-Ahead.
- 29.32 Greenhouse Gas Regulation and EIM Bid Adders.
- 29.33 [Not Used]
- 29.34 EIM Operations
- 29.35 Market Validation And Price Correction.
- 29.36 [Not Used]
- 29.37 Rules Of Conduct.
- 29.38 Market Monitoring.
- 29.39 EIM Market Power Mitigation.
- 29.40 [Not Used]
- 29.41 [Not Used]
- 29.42 [Not Used]
- 29.43 [Not Used]
- 29.44 [Not Used]

30. Bid And Self-Schedule Submission For All CAISO Markets

- 30.1 Bids, Including Self-Schedules
 - 30.1.1 Day-Ahead Market
 - 30.1.2 Real-Time Market
- 30.2 Bid Types
- 30.3 [Not Used]
- 30.4 Proxy Cost and Registered Cost Methodologies
 - 30.4.1 Start-Up and Minimum Load Costs
- 30.5 Bidding Rules
 - 30.5.1 General Bidding Rules
 - 30.5.2 Supply Bids
 - 30.5.3 Demand Bids
 - 30.5.4 Wheeling Through Transactions

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- 30.5.5 Scheduling Sourcing/Sinking in Same Balancing Authority Area
- 30.6 Bidding and Scheduling of PDRs and RDRRs
 - 30.6.1 Bidding and Scheduling of PDRs
 - 30.6.2 Bidding and Scheduling of RDRRs
 - 30.6.2 E-Tag Rules and Treatment of Intertie Schedules
 - 30.6.3 Net Benefits Test For Scheduling of PDRs or RDRRs
- 30.7 Bid Validation
 - 30.7.1 Scheduling Coordinator Access
 - 30.7.2 Timing Of CAISO Validation
 - 30.7.3 DAM Validation
 - 30.7.4 RTM Validation
 - 30.7.5 Validation Of ETC Self-Schedules
 - 30.7.6 Validation And Treatment Of Ancillary Services Bids
 - 30.7.7 Format And Validation Of Operational Ramp Rates
 - 30.7.8 Format And Validation Of Start-Up And Shut-Down Times
 - 30.7.9 Format And Validation Of Start-Up Costs And Shut-Down Costs
 - 30.7.10 Format And Validation Of Minimum Load Costs
- 30.8 Bids On Out-Of-Service Paths At Scheduling Points Prohibited
- 30.9 Virtual Bids
 - 30.9.1 Virtual Bid Components
- 30.10 Use of AC Solution and Nodal MW Constraints
- 30.11 Filings with FERC to Recover Actual Marginal Fuel Procurement Costs

31. Day-Ahead Market

- 31.1 Bid Submission And Validation In The Day-Ahead Market
- 31.2 Day-Ahead MPM Process
 - 31.2.1 The Market Power Mitigation Process
 - 31.2.2 Bid Mitigation for RMR Units
 - 31.2.3 Bid Mitigation for Non-RMR Units
- 31.3 Integrated Forward Market
 - 31.3.1 Market Clearing And Price Determination
 - 31.3.2 Congestion And Transmission Losses Cost Determination
 - 31.3.3 Metered Subsystems
- 31.4 CAISO Market Adjustments To Non-Priced Quantities In The IFM
- 31.5 Residual Unit Commitment
 - 31.5.1 RUC Participation
 - 31.5.2 Metered Subsystem RUC Obligation
 - 31.5.3 RUC Procurement Target
 - 31.5.4 RUC Procurement Constraints
 - 31.5.5 Selection And Commitment Of RUC Capacity
 - 31.5.6 Eligibility For RUC Compensation
 - 31.5.7 Rescission Of Payments For RUC Capacity
- 31.6 Timing Of Day-Ahead Scheduling
 - 31.6.1 Criteria For Temporary Waiver Of Timing Requirements
 - 31.6.2 Information To Be Published On Secure Communication System
 - 31.6.3 Conditions Permitting CAISO To Abort Day-Ahead Market
 - 31.6.4 [Not Used]
- 31.7 Extremely Long-Start Commitment Process
- 31.8 Constraints Enforced at Interties
 - 31.8.1 Scheduling Constraint
 - 31.8.2 Physical Flow Constraint

32. [Not Used]

33. [Not Used]

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34. Real-Time Market

- 34.1 Inputs To The Real-Time Market
 - 34.1.1 Day-Ahead Market Results as Inputs to RTM
 - 34.1.2 Market Model and System Information
 - 34.1.3 Bids in the Real-Time Market
 - 34.1.4 Real-Time Validation of Schedules and Bids
 - 34.1.5 Mitigating Bids in the RTM
 - 34.1.6 Eligible Intermittent Resources Forecast
- 34.2 The Hour-Ahead Scheduling Process
 - 34.2.1 The HASP Optimization
 - 34.2.2 Treatment of Self-Schedules in HASP
 - 34.2.3 Ancillary Services in the HASP and FMM
 - 34.2.4 HASP Results
 - 34.2.5 Cessation of the HASP
- 34.3 Real-Time Unit Commitment
 - 34.3.1 RTUC Optimization
 - 34.3.2 Commitment Of Fast Start And Short Start Units
- 34.4 Fifteen Minute Market
 - 34.4.1 Real-Time Ancillary Services Procurement
- 34.5 Real-Time Dispatch
 - 34.5.1 Real-Time Economic Dispatch
 - 34.5.2 Real-Time Contingency Dispatch
 - 34.5.3 Real-Time Manual Dispatch
- 34.6 Short-Term Unit Commitment
- 34.7 General Dispatch Principles
- 34.8 Dispatch Instructions to Units, Participating Loads, and PDRs, and RDRRs
- 34.9 Utilization Of The Energy Bids
- 34.10 Dispatch Of Energy From Ancillary Services
- 34.11 Exceptional Dispatch
 - 34.11.1 System Reliability Exceptional Dispatches
 - 34.11.2 Other Exceptional Dispatch
 - 34.11.3 Transmission-Related Modeling Limitations
 - 34.11.4 Reporting Requirements
- 34.12 CAISO Market Adjustment To Non-Priced Quantities In The RTM
 - 34.12.1 Increasing Supply
 - 34.12.2 Decreasing Supply
- 34.13 Means Of Dispatch Communication
 - 34.13.1 Response Required By Resources To Dispatch Instructions
 - 34.13.2 Failure To Conform To Dispatch Instructions
- 34.14 Metered Subsystems
- 34.15 Treatment Of Resource Adequacy Capacity In The RTM
- 34.16 Real-Time Activities In The Hour Prior To Settlement Period
 - 34.16.1 Confirm Interchange Transaction Schedules (ITs)
- 34.17 Rules For Real-Time Dispatch Of Imbalance Energy Resources
 - 34.17.1 Resource Constraints
 - 34.17.2 Calculation Of Dispatch Operating Points After Instructions
 - 34.17.3 [Not Used]
 - 34.17.4 Inter-Hour Dispatch Of Resources With Real-Time Energy Bids
 - 34.17.5 Inter-Hour Resources Dispatch Without Real-Time Energy Bids
 - 34.17.6 Intra-Hour Exceptional Dispatches
- 34.18 Ancillary Services In The Real-Time Market
 - 34.18.1 Dispatch Of Self-Provided Ancillary Services
 - 34.18.2 Ancillary Services Requirements For RTM Dispatch
- 34.19 Dispatch Information And Instructions
 - 34.19.1 Dispatch Information To Be Supplied By The CAISO
 - 34.19.2 Dispatch Information To Be Supplied By SC

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- 34.19.3 Dispatch Information To Be Supplied By UDCs
- 34.19.4 Dispatch Information To Be Supplied By PTOs
- 34.19.5 Dispatch Information To Be Supplied By Balancing Authorities
- 34.20 Pricing Imbalance Energy
 - 34.20.1 General Principles
 - 34.20.2 Determining Real-Time LMPs
- 34.21 Temporary Waiver of Timing Requirements for RTM
 - 34.21.1 Criteria for Temporary Waiver of Timing Requirements
 - 34.21.2 Information to be Published on Secure Communication System

35. Market Validation And Price Correction

- 35.1 Market Validation
- 35.2 Timing Of The Price Correction Process
- 35.3 Finality Of Prices Subject To The Price Correction Process
 - 35.3.1 Price Corrections and Changes Pursuant to FERC Orders
 - 35.3.2 Processing and Publication Issues
- 35.4 Scope Of Price Corrections
- 35.5 Price Correction Methodology
- 35.6 Weekly Price Correction Report

36. Congestion Revenue Rights

- 36.1 Overview Of CRRs And Procurement Of CRRs
- 36.2 Types Of CRR Instruments
 - 36.2.1 CRR Obligations
 - 36.2.2 CRR Options
 - 36.2.3 Point-To-Point CRRs
 - 36.2.4 [Not Used]
 - 36.2.5 Monthly CRRs
 - 36.2.6 Seasonal CRRs
 - 36.2.7 Long Term CRRs
 - 36.2.8 Full Funding Of CRRs
- 36.3 CRR Specifications
 - 36.3.1 Quantity
 - 36.3.2 Term
 - 36.3.3 On-Peak And Off-Peak Specifications
- 36.4 FNM For CRR Allocation And CRR Auction
 - 36.4.1 Transmission Capacity For CRR Allocation And CRR Auction
 - 36.4.2 Simultaneous Feasibility
 - 36.4.3 Outages That May Affect CRR Revenue; Scheduling Requirements
- 36.5 Candidate CRR Holder And CRR Holder Requirements
 - 36.5.1 Creditworthiness Requirements
 - 36.5.2 Required Training
- 36.6 [Not Used]
- 36.7 Bilateral CRR Transactions
 - 36.7.1 Transfer Of CRRs
 - 36.7.2 Responsibility Of The CAISO
 - 36.7.3 CRR Holder Reporting Requirement
- 36.8 CRR Allocation
 - 36.8.1 Structure Of The CRR Allocation Process
 - 36.8.2 Load Eligible For CRRs And Eligible CRR Sinks
 - 36.8.3 CRR Allocation Process
 - 36.8.4 Eligible Sources For CRR Allocation
 - 36.8.5 Load Migration Between LSEs
 - 36.8.6 Load Forecasts Used To Calculate CRR MW Eligibility
 - 36.8.7 Reconfiguration of CRRs
- 36.9 CRR Allocation To OBAALSEs

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- 36.9.1 Showing Of Legitimate Need
- 36.9.2 Prepayment Of Wheeling Access Charges
- 36.9.3 CRR Eligible Quantities
- 36.9.4 Eligible CRR Sources And Sinks
- 36.9.5 Priority Nomination Process
- 36.10 CRR Allocation To Metered Subsystems
- 36.11 CRR Allocation To Merchant Transmission Facilities
 - 36.11.1 Eligibility For Merchant Transmission CRRs
 - 36.11.2 Procedure For Allocating Merchant Transmission CRRs
 - 36.11.3 CRRs Allocated To A Transmission Facility Project Sponsor
- 36.12 [Not Used]
- 36.13 CRR Auction
 - 36.13.1 Scope Of The CRR Auctions
 - 36.13.2 Responsibilities Of The CAISO Prior To Each CRR Auction
 - 36.13.3 CRR Holder Creditworthiness
 - 36.13.4 Bids In The CRR Auctions
 - 36.13.5 Eligible Sources And Sinks For CRR Auction
 - 36.13.6 Clearing Of The CRR Auction
 - 36.13.7 Announcement Of CRR Auction Results
- 36.14 CRR Implications Of New IBAs Or Modifying Existing IBAs
 - 36.14.1 Coordination Of IBAA Changes With Release Of CRRs
 - 36.14.2 Modifications To CRR Settlement To Reflect Ibaa Changes
 - 36.14.3 IBAA Change Impact On Adequacy Of Previously-Released CRRs
- 36.15 [Not Used]

37. Rules Of Conduct

- 37.1 Objectives, Definitions, And Scope
 - 37.1.1 Purpose
 - 37.1.2 Objectives
 - 37.1.3 Application Of Other Remedies
 - 37.1.4 [Not Used]
 - 37.1.5 Administration
- 37.2 Comply With Operating Orders
 - 37.2.1 Compliance With Orders Generally
 - 37.2.2 [Not Used]
 - 37.2.3 Operations & Maintenance Practices
 - 37.2.4 Resource Adequacy Availability
 - 37.2.5 [Not Used]
 - 37.2.6 [Not Used]
- 37.3 Submit Feasible Bids And Submissions To Self-Provide
 - 37.3.1 Bidding Generally
 - 37.3.2 Exceptions
- 37.4 Comply With Availability Reporting Requirements
 - 37.4.1 Reporting Availability
 - 37.4.2 Scheduling And Final Approval Of Outages
 - 37.4.3 [Not Used]
 - 37.4.4 Enhancements And Exceptions
- 37.5 Provide Factually Accurate Information
 - 37.5.1 [Not Used]
 - 37.5.2 Inaccurate or Late Actual SQMD
- 37.6 Provide Information Required By CAISO Tariff
 - 37.6.1 Required Information Generally
 - 37.6.2 Investigation Information
 - 37.6.3 Audit Materials
 - 37.6.4 Review By FERC
- 37.7 [Not Used]

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- 37.8 Process For Investigation And Enforcement
 - 37.8.1 Purpose; Scope
 - 37.8.2 Referrals To FERC
 - 37.8.3 Investigation
 - 37.8.4 Notice
 - 37.8.5 Opportunity To Present Evidence
 - 37.8.6 Results Of Investigation
 - 37.8.7 Statement Of Findings And Conclusions
 - 37.8.8 Officer Representative
 - 37.8.9 Record Of Investigation
 - 37.8.10 Review Of Determination
- 37.9 Administration Of Sanctions
 - 37.9.1 Assessment; Waivers And Adjustments
 - 37.9.2 [Not Used]
 - 37.9.3 Settlement
 - 37.9.4 Disposition Of Proceeds
- 37.10 Miscellaneous
 - 37.10.1 Time Limitation
 - 37.10.2 No Limitation On Other Rights
- 37.11 Method For Calculating Penalties
 - 37.11.1 Inaccurate or Late Actual SQMD Penalty
 - 37.11.2 Inaccurate Actual SQMD Penalty Without Recal. Settl Stmt.

38. Market Monitoring

39. Market Power Mitigation Procedures

- 39.1 Intent Of CAISO Mitigation Measures; Additional FERC Filings
- 39.2 Conditions For The Imposition Of Mitigation Measures
 - 39.2.1 Conduct Inconsistent With Competitive Conduct
- 39.3 Categories Of Conduct That May Warrant Mitigation
 - 39.3.1 Conduct Regarding Bidding, Scheduling Or Facility Operation
 - 39.3.2 Market Effects Of Rules, Standards, Procedures, Other Items
 - 39.3.3 Using Different Prices In Other Markets Not Uncompetitive
 - 39.3.4 Foregoing Category List Subject To Amendment As Appropriate
- 39.4 Sanctions For Physical Withholding
- 39.5 FERC-Ordered Measures
- 39.6 Rules Limiting Certain Energy, AS, And RUC Bids
 - 39.6.1 Maximum Bid Prices
- 39.7 Local Market Power Mitigation For Energy Bids
 - 39.7.1 Calculation Of Default Energy Bids
 - 39.7.2 Competitive Path Designation
 - 39.7.3 Default Competitive Path Designations
- 39.8 Eligibility For Bid Adder
 - 39.8.1 Bid Adder Eligibility Criteria
 - 39.8.2 New Generating Units
 - 39.8.3 Bid Adder Values
- 39.9 CRR Monitoring And Affiliate Disclosure Requirements
- 39.10 Mitigation Of Exceptional Dispatches Of Resources
 - 39.10.1 Measures For Resources Eligible For Supplemental Revenues
 - 39.10.2 Resources Not Eligible For Supplemental Revenues
 - 39.10.3 Eligibility For Supplemental Revenues
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- 43A.1 Applicability
- 43A.2 Capacity Procurement Mechanism Designation
 - 43A.2.1 SC Failure To Show Sufficient Local Capacity Area Resources
 - 43A.2.2 Collective Deficiency In Local Capacity Area Resources
 - 43A.2.3 SC Failure To Show Sufficient Resource Adequacy Resources
 - 43A.2.4 CPM Significant Events
 - 43A.2.5 Exceptional Dispatch CPM
 - 43A.2.6 Capacity At Risk Of Retirement Needed For Reliability
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- 43A.3 Terms of CPM Designation
 - 43A.3.1 SC Annual Plan Failure To Show Local Capacity Area Resources
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 - 43A.3.3 Annual Plan Collective LCA Resources Insufficient
 - 43A.3.4 SC Failure To Show Sufficient Resource Adequacy Resources
 - 43A.3.5 Term – CPM Significant Event
 - 43A.3.6 Term – Exceptional Dispatch CPM
 - 43A.3.7 Term - Capacity At Risk Of Retirement Needed For Reliability
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- 43A.4.2 Administering the CSPs
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- 43A.5 Obligations of a Resource Designated Under The CPM
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 - 43A.5.3 Availability Obligations for Simultaneous Designations
 - 43A.5.4 Individualized Non-Availability Charges And Availability Incentive Payments
- 43A.6 Reports
 - 43A.6.1 CPM Designation Market Notice
 - 43A.6.2 Designation Of A Resource Under The CPM
 - 43A.6.3 Non-Market And Repeated Market Commitment Of Non-RA Capacity
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- 43A.7 Payments To Capacity Designated Under The CPM
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- 43A.8 Allocation Of CPM Capacity Payment Costs
 - 43A.8.1 LSE Shortage Of Local Capacity Area Resources In Annual Plan
 - 43A.8.2 LSE Shortage Of Local Capacity Area Resources In Month Plan
 - 43A.8.3 Collective Deficiency In Local Capacity Area Resources
 - 43A.8.4 LSE Shortage Of Demand Or Reserve Margin Requirement In Plan
 - 43A.8.5 Allocation Of CPM Significant Event Costs
 - 43A.8.6 Allocation Of Exceptional Dispatch CPMs
 - 43A.8.7 Allocation of CPM Costs For Resources At Risk of Retirement
 - 43A.8.8 Allocation of Flexible Capacity CPM Costs
- 43A.9 Crediting Of CPM Capacity
- 43A.10 [Not Used]

44. [Not Used]

- 44.1 [Not Used]
- 44.2 [Not Used]
- 44.3 [Not Used]

Appendix A Definitions

Appendix B Pro Forma Agreements

- Appendix B.1 Scheduling Coordinator Agreement
- Appendix B.2 Participating Generator Agreement
- Appendix B.3 Net Scheduled Participating Generator Agreement
- Appendix B.4 Participating Load Agreement
- Appendix B.5 Dynamic Scheduling Agreement For SCs
- Appendix B.6 MSA For Metered Entities (MSA CAISOME)
- Appendix B.7 Meter Service Agreement For SCs (MSA SC)
- Appendix B.8 Utility Distribution Company OA (UDCOA)
- Appendix B.9 DSHBA Operating Agreement (DSHBAOA)
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- Appendix B.11 Pro Forma CRR Entity Agreement
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- Appendix B.13 Resource-Specific System Resource Agreement
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- Appendix B.15 Convergence Bidding Entity Agreement
- Appendix B.16 Pseudo-Tie Participating Generator Agreement
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Appendix B.20 EIM Participating Resource Scheduling Coordinator Agreement
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Appendix L	Method To Assess Available Transfer Capability
Appendix M	Dynamic Scheduling Protocol (DSP)
Appendix N	Pseudo-Tie Protocol
Appendix O	CAISO Market Surveillance Committee
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Appendix X	Approved Project Sponsor Agreement
Appendix Y	Generator Interconnection Procedures (GIP) For Interconnection Requests
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- Appendix CC Large Generator Interconnection Agreement for Interconnection Requests in a Queue Cluster Window**
- Appendix DD Generator Interconnection and Deliverability Allocation Procedures (GIDAP)**
- Appendix EE Large Generator Interconnection Agreement for Interconnection Requests Processed under the Generator Interconnection and Deliverability Allocation Procedures**
- Appendix FF Small Generator Interconnection Agreement for Interconnection Requests Processed Under the Generator Interconnection and Deliverability Allocation Procedures**
- Appendix GG One-Time Interconnecting Generator Downsizing Opportunity**
- Appendix HH Generation Interconnection Agreement Amendment Re: Generator Downsizing**
- Appendix II Market-Based Rate Authority Suspension**